Physiological and anthropometric determinants of sport climbing performance

Christine M Mermier, Jeffrey M Janot, Daryl L Parker, Jacob G Swan

Abstract

Objective—To identify the physiological and anthropometric determinants of sport climbing performance.

Methods—Forty four climbers (24 men, 20 women) of various skill levels (self-reported rating 5.6–5.13c on the Yosemite decimal scale) and years of experience (0.10–44 years) served as subjects. They climbed two routes on separate days to assess climbing performance. The routes (11 and 30 m in distance) were set on two artificial climbing walls and were designed to become progressively more difficult from start to finish. Performance was scored according to the system used in sport climbing competitions where each successive handhold increases by one in point value. Results from each route were combined for a total climbing performance score. Measured variables for each subject included anthropometric (height, weight, leg length, arm span, % body fat), demographic (self reported climbing rating, years of climbing experience, weekly hours of training), and physiological (knee and shoulder extension, knee flexion, grip, and finger pincer strength, bent arm hang, grip endurance, hip and shoulder flexibility, and upper and lower body anaerobic power). These variables were combined into components using a principal components analysis procedure. These components were then used in a simultaneous multiple regression procedure to determine which components best explain the variance in sport rock climbing performance.

Results—The principal components analysis procedure extracted three components. These were labelled training, anthropometric, and flexibility on the basis of the measured variables that were the most influential in forming each component. The results of the multiple regression procedure indicated that the training component uniquely explained 58.9% of the total variance in climbing performance. The anthropometric and flexibility components explained 0.3% and 1.8% of the total variance in climbing performance respectively.

Conclusions—The variance in climbing performance can be explained by a component consisting of trainable variables. More importantly, the findings do not support the belief that a climber must necessarily possess specific anthropometric characteristics to excel in sport rock climbing.

Keywords: rock climbing; strength; muscular endurance; training; anthropometric determinants

Research interest in rock climbing has increased since the late 1970s, in part because of increased participation in the sport. One of the first studies of the physiology of rock climbing performance was by Williams et al. Since then, the focus of research has shifted from outdoor rock climbing to indoor sport climbing, which has given researchers better control over extraneous variables. This shift coincides with the emergence of sport climbing as a competitive event.

Despite the increased research in this area, there is still some debate, as well as conflicting evidence, in the climbing literature about which physiological and anthropometric factors are important in determining climbing performance. Mermier et al' examined the physiological responses during rock climbing and found a non-linear relation between heart rate and oxygen consumption ($\text{VO}_2$), which suggests that $\text{VO}_2$ may have a small role in determining climbing performance. Billat et al' concluded that the overall percentage of maximum $\text{VO}_2$ required is relatively small during climbing. However, in a recent study by Booth et al,' moderately difficult climbing was shown to elicit a significant portion of climbing specific peak $\text{VO}_2$ in elite climbers. Other studies have attempted to identify specific physical characteristics present in elite climbers. Watts et al concluded that climbing performance is best predicted by percentage body fat (%BF) and strength to body mass ratio in elite sport climbers. Grant et al' found that elite climbers differ from recreational climbers and active non-climbers on measures of leg span, %BF, flexibility, and muscular strength and endurance.

It is evident that the determination of components related to climbing performance needs further investigation. The goal of this study is to improve our understanding of which components determine climbing performance by using a larger and more diverse sample within the climbing population, as well as more advanced multivariate statistical procedures than those used in previous studies. These procedures should allow us to achieve a greater understanding of the relations among components of climbing performance, which can be used by those who wish to improve their climbing ability. Therefore the purpose of this research was to determine which anthropometric and physiological components best explain the variability in climbing performance.
Methods

SUBJECTS

Twenty-four male and 20 female volunteers, aged 18–49, were recruited from the university’s student body population, the local climbing gym, and the surrounding community. Before participating in the study, the subjects completed a health history questionnaire, a climbing history questionnaire, and a consent form approved by the university’s human subjects review board. The climbing history questionnaire was used to obtain information about the length, frequency, and type of climbing experience (sport, traditional, ice, aid, etc), self reported ratings (defined as highest level consistently climbed), and the specific training programmes for climbing for each subject. These variables were used to quantify the training and experience of the subjects.

Subjects were excluded on the basis of previous climbing experience (fewer than five climbs) or unsuccessful completion of a screening climb rated ∼5.5 on the Yosemite decimal scale (YDS). Subjects were also excluded on the basis of self reported pre-existing medical conditions contraindicative to the study’s testing regimen and/or climbing trials. Because of the maximal exertion required for the upper and lower body Wingate tests, an age limit was imposed (men > 45 years, women > 55 years, all subjects < 18 years) according to the American College of Sports Medicine’s Guidelines of exercise testing and prescription.

VISITS

Subject testing was completed over a span of three visits, two at the university and one at a local climbing gym. The variables measured at visit 1 were the performance climb 1, bent arm hang, height, weight, arm span, leg length, isokinetic leg flexion and extension strength, isokinetic shoulder extension strength, and lower body anaerobic power. Grip strength, pincer strength, grip endurance, skinfolds for body fat, hip and shoulder range of motion, and upper body anaerobic power were measured during visit 2. Performance climb 2 was performed during visit 3. All visits were completed within a 14 day period. The subjects were also asked to maintain their current training regimen throughout the study.

ANTHROPOMETRIC VARIABLES OF THE SUBJECTS

Height was measured without shoes to the nearest 0.5 cm at mid inspiration using a stadiometer. Subjects were weighed to the nearest 0.1 kg in athletic apparel without shoes on a Seca digital electronic scale (Seca Corporation, Columbia, Maryland, USA). Arm span was measured with the back against a wall and the arms outstretched laterally at the height of the shoulders. Total distance from the tip of one middle finger to the tip of the other middle finger in cm was noted. Ape index was calculated by dividing arm span by height. Leg length was determined using a carpenter’s level placed at the level of the groin while the subject was standing. Total distance in cm was measured from the top of the level to the ground.

Skinfold thickness was measured to the nearest 0.5 mm using a Lange caliper (Cambridge Scientific Industries, Columbia, Maryland USA). All measurements were taken on the right side using anatomical sites according to the Jackson and Pollock site equations for both men and women. These measurements were performed until two were within 10% of each other. The equations developed by Siri and Heyward and Stolarczyk were used to convert body density to %BF for men and women respectively.

PHYSIOLOGICAL VARIABLES OF THE SUBJECTS

Flexibility

Range of motion (ROM) was measured at the hip and shoulder and reported in degrees. Subjects were allowed to warm up/stretch for five minutes before measurements were taken. All measurements were taken on the right side at maximum active ROM. The larger of two measurements at each site was recorded. Hip abduction with external rotation was measured using a goniometer while the subject was seated. The goniometer was centred at the inguinal fold at the axis of rotation with the knee bent. A bubble inclinometer (Baseline, Irvington, New York, USA) was used to assess shoulder abduction, shoulder flexion, and hip flexion. Subjects were instructed to lie supine on a mat for hip flexion measurement. The inclinometer was placed on the upper border of the patella and zeroed with the leg flat on the ground. Shoulder flexion and abduction measurements were taken while subjects were standing with palms facing inward and kept in the same plane throughout the motion. The device was placed at the mid point of the biceps brachii for flexion and on the medial deltoid for abduction. The device was zeroed with the arm relaxed at the side.

Muscular strength

Each muscular strength measurement was expressed relative to body mass to control for the effect of body size. Isokinetic strength was measured during shoulder extension and leg flexion and extension using the Cybex II isokinetic system (Lumex, Ronkonkoma, New York, USA) in conjunction with the Humac 680 computer testing program (Humac 680 System; Computer Sports Medicine, Norwood, Massachusetts, USA). The Cybex system was calibrated before each trial and set at a speed of 60°/s. After a warm up of three repetitions for each movement, subjects performed six maximal repetitions. The peak torque obtained for each motion was recorded.

Grip strength was measured using the dominant hand. A hand dynamometer (Jamar, Asimow Engineering, Los Angeles, California, USA) was used for all measurements and adjusted so that the middle phalanx lined up with the handle. Subjects were given three
Climbing performance

trials for maximum isometric grip strength. The highest reading was recorded as grip strength.

Pincer strength was determined by using a pincer dynamometer (Pinch Gauge; Samson Preston, Bolingbrook, Illinois, USA). Subjects were instructed to use only the thumb and middle digit of their dominant hand. They were allowed three attempts to achieve a maximum value. The highest value of the three attempts was recorded.

**Muscular endurance**

Muscular endurance was assessed by a timed bent arm hang and grip endurance. The bent arm hang was performed on a climbing hang board (Pusher, Salt Lake City, Utah, USA) using the two biggest holds (about 28 cm apart) on the uppermost portion of the board. The bent arm position was chosen over full flexion (termed lock o-board. The bent arm position was chosen over middle digit of their dominant hand. They were allowed three attempts to achieve a maximum value. The highest value of the three attempts was recorded.

**Grip endurance**

Grip endurance of the dominant hand was collected with the OptoSensor 2000 testing software package (Sports Medicine Industries, Inc, St Cloud, Minnesota, USA). Subjects attempted performance climb 1 during visit 1 and performance climb 2 during visit 3 using a belayer and rope for safety. The climbs were performed on sight, with no prior knowledge of the route and no information or encouragement given during the climb. Subjects were allowed to view the route from the ground, but not allowed to touch any holds before the start of the trial. Only one opportunity was given to complete the routes.

Performance was scored according to the system used in sport climbing competitions where each successive handhold increases in point value by one. Subjects were given a point value for the highest handhold reached. A subjective point value was then added to the point total based on how well they used their last hold. If the subject touched but did not grasp the last hold before falling, 0.1 was added to the point value. If the subject grabbed but was unable to move off the last hold before falling, 0.5 was added to the point value. If the subject grabbed the last hold and then tried to move off of it, the score for that hold was increased by 0.9.

The performance climbing routes were designed to begin relatively easily (∼5.7) and increase in difficulty with each handhold/move, with a minimum number of resting positions. An attempt was made to vary the nature of the Movements on both routes so as not to give advantage to any given climber. The same certified competition route setter set both routes and determined the ratings, and another experienced route setter climbed the routes to confirm the ratings.

Performance climb 1 was set to start at ∼5.7 YDS and progressed with increasing difficulty to ∼5.12 YDS at the top. This route consisted of 21 handholds over 11 m of climbing. Climb 2 consisted of 10 m of traverse climbing on a vertical wall using large holds. The route continued to traverse around a 45° corner, and became more
Table 1 Anthropometric and demographic characteristics of the sample (n=44)

<table>
<thead>
<tr>
<th></th>
<th>Men (n=24)</th>
<th>Women (n=20)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>SD</td>
</tr>
<tr>
<td>Age (years)</td>
<td>30.4</td>
<td>6.0</td>
</tr>
<tr>
<td>Weight (kg)</td>
<td>72.8*</td>
<td>11.6</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>177.4*</td>
<td>8.8</td>
</tr>
<tr>
<td>Leg length (cm)</td>
<td>82.7*</td>
<td>5.9</td>
</tr>
<tr>
<td>Arm span (cm)</td>
<td>185.4*</td>
<td>9.6</td>
</tr>
<tr>
<td>Ape index</td>
<td>1.0</td>
<td>0.02</td>
</tr>
<tr>
<td>Body fat (%)</td>
<td>9.8*</td>
<td>3.5</td>
</tr>
<tr>
<td>Total years climbing</td>
<td>7.2</td>
<td>6.1</td>
</tr>
<tr>
<td>Training (hours/week)</td>
<td>7.2*</td>
<td>5.0</td>
</tr>
</tbody>
</table>

*Significantly different from women (p<0.05).

Results

PAC procedure

Tables 1 and 2 give the means, standard deviations, and ranges for the anthropometric and physiological variables. The sample exhibited a wide range of scores for all measured variables.

PCA was used to reduce the original set of variables to a smaller set that accounts for most of the variance in the initial variables and to determine which variables could be combined to best reflect underlying structures or processes related to rock climbing performance. This optimises the case to variable ratio and increases power for subsequent analyses. The PCA was initially performed with men and women as separate groups. However, the two analyses produced the same components, therefore data for the men and women were combined for all subsequent analyses. PCA with oblique rotation was performed initially on 25 variables for a sample of 44 men and women. The PCA was rerun with 17 variables after 12 variables were omitted because of having very low communalities or not loading on any component. Barlett’s test of sphericity was significant (p<0.001), indicating that it was reasonable to proceed with PCA even considering the small sample size.

Three components were extracted on the basis of the analysis of the scree plot, requiring 17 iterations for rotation. Oblique rotation was chosen because of a moderate correlation between components 1 and 2 (r = -0.312). Variables were well defined by the component solution, and communalities (h²) tended to be high. Table 3 shows loading of variables on components, communalities, and percentage of variance and cumulative variance. With a cut off of 0.40 for inclusion of a variable in interpretation of a component, all variables loaded on at least one component.

Table 2 Mean muscular strength, endurance, flexibility and power output characteristics of the sample (n=44)

<table>
<thead>
<tr>
<th></th>
<th>Men (n=24)</th>
<th>Women (n=20)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>SD</td>
</tr>
<tr>
<td>Knee extension (ft lbs/kg BW)</td>
<td>2.09*</td>
<td>0.24</td>
</tr>
<tr>
<td>Knee flexion (ft lbs/kg BW)</td>
<td>1.40*</td>
<td>0.20</td>
</tr>
<tr>
<td>Shoulder extension (ft lbs/kg BW)</td>
<td>1.30*</td>
<td>0.22</td>
</tr>
<tr>
<td>Shoulder adduction (seconds)</td>
<td>51.05*</td>
<td>14.62</td>
</tr>
<tr>
<td>Hip flexion (degrees)</td>
<td>137.2</td>
<td>15.9</td>
</tr>
<tr>
<td>Hip abduction (degrees)</td>
<td>89.4</td>
<td>20.2</td>
</tr>
<tr>
<td>Shoulder flexion (degrees)</td>
<td>180.8</td>
<td>17.8</td>
</tr>
<tr>
<td>Shoulder abduction (degrees)</td>
<td>146.8*</td>
<td>35.2</td>
</tr>
<tr>
<td>Wingate lower body peak (W)</td>
<td>130.71*</td>
<td>229.44</td>
</tr>
<tr>
<td>Wingate lower body peak (W/kg BW)</td>
<td>16.57*</td>
<td>1.56</td>
</tr>
<tr>
<td>Wingate lower body mean (W)</td>
<td>639.04*</td>
<td>103.0</td>
</tr>
<tr>
<td>Wingate lower body mean (W/kg BW)</td>
<td>135.0–208.0</td>
<td>0.91</td>
</tr>
<tr>
<td>Wingate lower body peak (W/kg BW)</td>
<td>494.42*</td>
<td>120.82</td>
</tr>
<tr>
<td>Wingate lower body mean (W/kg BW)</td>
<td>328.37*</td>
<td>61.92</td>
</tr>
<tr>
<td>Wingate lower body peak (W/kg BW)</td>
<td>6.80*</td>
<td>0.85</td>
</tr>
<tr>
<td>Wingate lower body mean (W/kg BW)</td>
<td>54.96</td>
<td>9.39</td>
</tr>
</tbody>
</table>

*Significantly different from women (p<0.05).

ft lbs/kg BW, foot pounds per kilogram of body weight; W/kg BW, Watts per kilogram of body weight; kg/kg BW, kilograms of force per kilogram of body weight.
null
explained variance that is due to overlapping of the predictor variables or multicollinearity of predictor variables. This value was calculated to be about 0.048, meaning that 4.8% of the total explained variance was due to overlapping of the predictor variables. This relatively small amount of multicollinearity among the predictor components gives greater justification to the interpretability of the regression model results.

**Discussion**

Sport climbing has grown tremendously in popularity in the past few years, and standards of difficulty have continued to rise along with the number of competitions. Climbers of all abilities who are interested in improving would benefit from research into determinants of sport climbing performance. Much of the scientific literature on climbing focuses on climbing injuries and their prevention. A few recent studies have examined physiological and anthropometric variables related to climbing. This study attempts to describe which variables explain sport climbing performance in a heterogeneous sample of climbers.

A number of recent studies contain descriptive data on elite or experienced climbers. In 1993, Watts et al compiled anthropometric data on 21 men and 18 women semifinalists at a World Cup sport climbing competition. In general, the elite climbers were characterised as being small in stature, with low %BF, high grip strength, and high grip strength to body mass ratio. Grant et al compared various anthropometric and physical variables of elite and recreational male climbers. Elite climbers were found to have greater upper body endurance (bent-arm hang time and pull ups), finger strength, and hip flexibility as measured by the sit and reach test.

In this study, male and female climbers tended to be similar in stature to those in the study by Watts et al. Also, the elite climbers in the study by Watts et al had, on average, lower body mass (66.6 ± 72.8 kg for men; 51.5 ± 60.1 kg for women), less body fat (4.7% ± 9.8% for men; 10.7% ± 20.7% for women), greater relative grip strength (0.78 ± 0.65 for men; 0.66 ± 0.49 for women), and more climbing experience (11.2 ± 7.3 years for men; 8.8 ± 7.0 years for women) than the climbers in this study. Our male climbers also compared favourably with the elite climbers in the study by Grant et al with respect to %BF (9.8% ± 14.0%) and bent arm hang time (51.8 ± 53.1 seconds). It is important to note that the subjects in our study are more diverse as a sample with respect to climbing ability than the samples in the other studies cited. A diverse sample was selected to enhance external validity to lend greater generalisability of the results to climbers of various abilities.

Twelve variables did not load on any component in this analysis. These included age, shoulder flexibility, finger pincer strength, grip endurance, hours of training a week, and absolute measurement of peak, mean, and decrease in power for upper and lower body Wingate tests. In PCA, variables with low correlations with the important components and with low squared multiple correlation with all other variables are considered outliers. In our opinion, the fact that these variables were not extracted in the PCA does not mean that they are not important to climbing performance, rather that they were unrelated to other variables in the solution for this model. The usefulness of these variables needs to be clarified by future research.

The PCA extracted a three component model to represent variables related to sport rock climbing performance. The component (training) that captured the largest amount of cumulative variance in the PCA model (~39%) included variables that are influenced by training such as strength, power, %BF, and climbing skill (self reported rating). The second component included anthropometric measures such as height, weight, arm span, leg length, and ape index, and the third component included hip flexibility along with years experience, explaining about 15% and 10% of the cumulative variance for the model respectively. The importance of these findings lies in the use of this statistical method because it shows that success in sport climbing is related to a much larger number of variables rather than a limited number of variables as previously identified.

The relative importance of the first component underscores the need for successful training programmes to include workouts that emphasise the development of muscular strength, endurance and power, as well as climbing specific skills.

According to the results of the PCA, the three components that were extracted from the original set of variables are training, anthropometric, and flexibility. Previous research that focused on identifying variables that explain climbing ability included some variables that are associated with these components. However, in our study, the training component was the only significant predictor of climbing performance, thereby reducing the importance of the anthropometric and flexibility components to overall climbing ability.

One of the most important findings of this study is that climbing ability can be significantly explained by the training component. Many climbers believe that climbing success depends on certain characteristics that are considered to be largely untrainable, such as small body stature, a positive ape index, and a specific body somatotype for climbing. It is evident from previous research that elite climbers possess similar anthropometric and physiological characteristics. Watts et al identified small to moderate stature and very low %BF as being characteristics shared by elite climbers. However, when these variables, along with other anthropometric and physiological variables, were entered into a multiple regression model, only %BF and grip strength to body mass ratio were considered to be significant predictors of climbing ability. These two predictor variables can be improved
through specific modes of training, which thereby would improve climbing performance. The results from Watts et al. show that, although there is a tendency among elite climbers to share certain anthropometric characteristics, they are not necessarily required to attain elite levels of climbing performance. Given the results of Watts et al., trainable variables are most important to climbing performance in elite climbers.

Grant et al. suggested that improvements in climbing ability can be made through training to increase shoulder endurance, finger pincer strength, and hip flexibility. In our study, hip flexibility measurements contributed significantly to the third component, but were not associated with the first component (training). This is not to say that flexibility cannot be improved through training, but that the flexibility component was not a significant determinant of climbing performance in this study. These results appear to be counterintuitive especially with regard to the physical demands of sport climbing. The fact that a single flexibility component was formed using the original set of variables in this study indicates the importance of hip joint ROM to sport climbing performance.

It should also be stated that about 66% of the total variance in climbing performance was explained by the current model, leaving 34% of the variance explainable by other factors. However, considering similar attempts made by previous authors, the amount of explained variance in our study is relatively large. This would leave other factors, such as problem solving skills, psychological factors, and climbing specific balance, which were not included in this study, as possible predictors of climbing ability. Further investigation of these factors is warranted.

In addition, it is believed that route familiarity improves climbing performance. The application of skill in repeated attempts at completing the same route could have favoured less experienced climbers. In this study, this was controlled for by allowing only one attempt at each route. However, in the non-competitive setting, repeated attempts at the same route are common practice. Therefore further study is necessary to determine whether allowing unlimited practice on the routes before testing would affect the results by possibly lowering the amount of unexplained variance and increasing generalisability to common climbing practice. Another potential limitation of this study is the low generalisability of the findings to other types of climbing, such as ice, aid, or traditional climbing. Although attempts were made to vary the type of movements and holds when the routes were set, it was impossible to eliminate completely the gain or loss of advantage for any given climber. However, the power of this study lies in the specific application of the findings to sport rock climbing.

In conclusion, the results of this study show that a large portion of the variance in climbing performance can be explained by a component consisting of trainable variables. More importantly, these findings do not support the belief that a climber must possess specific anthropometric characteristics to be successful in the sport of climbing. Thus, engaging in a training programme to increase muscular strength, power, and endurance is more important than flexibility and anthropometric measurements for determining climbing performance in climbers of all abilities.

Commentary

This paper contributes some new information which builds on previous published work toward the development of an athlete model for sport climbing performance. The study includes assessment of variables that have not been previously reported and uses a principal components analysis procedure to present a different perspective of analysis. The results may have limited application, however, except to discriminate between climbers of a wide range of ability. The concept of diversity within subjects for this type of study is well illustrated in an article by Sjodin and Svedenhag, in which the authors illustrate that $V_O_2$ max correlates well with mean marathon running velocity for runners with velocities ranging from 3.0 to 5.4 m/s ($r = 0.78$). However, the correlation suffers when the sample is reduced to only “fast” runners with velocities between 4.65 and 5.40 m/s ($r = 0.01$). Thus the application of interpretations may be limited to samples of diverse climbing ability. Still, this work has identified possible factors that may now be studied to determine how training induced changes are reflected in changes in performance.

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