Can we manage sport related concussion in children the same as in adults?

P McCrory, A Collie, V Anderson, G Davis

Evidence based guidelines are required for the management of concussive injury in children

Consensus guidelines for managing sport related concussion in adults have been increasingly widely implemented. So far, there are no guidelines that enable clinicians to manage similar sporting concussive injuries in children. Furthermore, there are a number of important anatomical, physiological, and behavioural differences between adults and children that suggest that adult guidelines will need to be either modified or rewritten to manage injuries in this age group.

EPIDEMIOLOGY OF CONCUSSION IN CHILDREN

The annual incidence of traumatic brain injury (TBI) in adults is remarkably constant worldwide and has been estimated at between 180 and 300 cases per 100,000 population. This is believed to be an underestimate of the true incidence as an equivalent number of mild injuries are treated by general practitioners and do not result in hospital admission. Direct sport participation accounts for approximately 15–20% of all such TBI and in children a further smaller percentage of TBI is associated with play activities.

In children aged 15 years and under, the estimated incidence rate of TBI is 180 per 100,000 children per year of which approximately 85% are categorised as mild injuries. In the US, it has been estimated that more than 1 million children sustain a TBI annually and that TBI accounts for more than 250,000 paediatric hospital admissions as well as more than 10% of all visits to emergency service settings.

In child and adolescent populations, few well controlled studies exist to identify the age specific frequency and outcome of sport related concussive injuries.

INTRINSIC DIFFERENCES BETWEEN CHILD AND ADULT CONCUSSION

The most common cognitive sequela of concussive injuries in children are the same as for adults, namely reduced speed of information processing, poor attention, and impaired executive function. Concussion may also have a significant negative secondary impact upon educational and social attainment, as these processes are critical for performing common day to day activities in childhood and adolescence, such as acquiring new knowledge and attending to school work. The nature, magnitude, and duration of these post-concussive cognitive impairments are yet to be determined, and the academic and social consequence for the child remains unknown at this time. In addition, it has been reported that children may suffer from a variety of post-concussion behavioural sequelae despite normal neuropsychological testing.

It is also known that brain tolerance to biomechanical forces differs between adults and children. In broad terms, a two to three fold greater impact force is required to produce clinical symptoms in children compared to adults. This is due to a combination of factors, including an age dependent physiological response to mechanical stress, the differing geometry of the skull and brain, and the constitutive structural properties of the head. This means that if a child exhibits clinical symptoms after head injury, then it is reasonable to assume that they have sustained a far greater impact force compared to an adult with the same post-concussive symptoms.

There are rare but well recognised post-traumatic clinical sequelae that occur predominantly in children and teenagers, such as diffuse cerebral swelling. The concussive impact, however trivial, sets in train the rapid development of cerebral swelling that usually results in brainstem herniation and death. Its cause is unknown but is thought to involve disordered cerebral vascular autoregulation. Although repeated concussive injuries have been proposed as the basis for this syndrome (the so called second impact syndrome), the evidence for repeated concussion as a specific risk factor is not compelling. It is more likely that a single impact of any severity may result in this rare complication; however, participation in sport simply draws attention to incidental concussive injuries in this setting. There is also limited published evidence that a specific genetic abnormality predisposes to brain swelling following mild head injury in children.

NEUROPSYCHOLOGICAL DIFFERENCES BETWEEN CHILDREN AND ADULTS

Over and above any cognitive effects of concussion, there is an additional issue that makes assessment difficult, namely the fact that the brain is cognitively maturing during this period. This has two major implications. Firstly, the child’s brain potentially may be more vulnerable to the impact of head injury than the more mature adult brain due to the disturbances of neuronal maturation caused by brain trauma. Secondly, unlike adults where cognitive function is relatively stable over time, children’s cognition continues to develop. Thus any assessment of baseline or post-injury cognitive function needs to factor in the normal maturation in cognition that is occurring over this period. Pilot unpublished cross sectional data collected in 180 healthy children using a computerised cognitive test paradigm (CogSport) indicates substantial improvement in performance between the ages of 9 and 18 years on tests of simple and choice reaction time, working memory, and new learning. The largest improvements in test performance occur between the ages of 9 and 13, with minimal changes after this age paralleling adult performance (fig 1).

These developmental changes are of comparable magnitude to post-concussive impairments observed on computerised cognitive post-injury assessment in adults. This has the potential for confusion in assessment given that maturational improvements occurring between baseline and post-concussion testing may offset any injury related cognitive impairment in concussed children and adolescents.

RISK FACTORS FOR SEQUELAE AND POOR RECOVERY AFTER CONCUSSION IN CHILDREN

It has been argued that individuals are not at equal risk for symptoms and cognitive dysfunction following concussion, and also that some individuals may be at higher risk of sustaining concussion than others. There is circumstantial evidence that a prior history of brain injury is a risk factor for subsequent concussive injury in children.

Other potential risk factors that may predict more severe neurobehavioral
sequelae following injury, especially in younger children where the brain is less mature, include the presence of pre morbid cognitive, attention, and behavioural impairments.\textsuperscript{15, 26}

It has also become a widely held belief that having sustained a sport related concussive injury, an athlete is then more prone to future concussive injury. The evidence for this in sport is limited with most studies being methodologically flawed.\textsuperscript{27–29} It has been suggested that individuals with more aggressive playing styles may be at the greatest risk of concussion.

Although helmet use may be effective in preventing superficial head injury in children, its role in preventing concussion and other forms of traumatic brain injury remains unproven. Furthermore, recent studies have shown a differential behavioural response of children to protective equipment with some adopting increased risk taking behaviour and hence paradoxically increasing their risk of sustaining a concussive injury by wearing a “protective” helmet.\textsuperscript{30, 31}

There is also evidence that an individual’s genetic make up may predict outcome from head injury. In particular, an association has been identified between the apolipoprotein E 4 allele and poor clinical outcome in adult patients with mild head injury.\textsuperscript{32} Although only in the early stages of understanding, the interaction between genetic and environmental factors may be critical in the development of post-concussive phenomena. These data suggest that it may be possible to identify individuals who are at greater risk for poor outcomes from concussion and in the future management practices may need to be tailored to incorporate such information.

**CAN WE USE ADULT CONCUSSION RETURN TO PLAY STRATEGIES IN CHILDREN?**

There have been numerous attempts in the past to formulate evidence based concussion management guidelines,\textsuperscript{33} with that developed at the recent Vienna Consensus Conference\textsuperscript{1} being most widely accepted today. In broad terms, this approach recommends baseline cognitive testing to enable accurate individual assessment of recovery, in order to guide return to play following concussion.

There are no current guidelines for diagnosis and management of concussion in children beyond generic recommendations for observation and neuroimaging following childhood mild head injury.\textsuperscript{34} This is also reflected in the variable specialist clinical management that may be offered in this situation. In a recent pilot study of paediatric neurosurgical management of sport related concussive injury in children, there was no consensus between the surgeons being studied as to the significance of specific clinical symptoms or on recommendations regarding hospitalisation, time off school and sport, or the use of protective equipment following injury.\textsuperscript{35}

The “comparison to own baseline” model of assessment remains a powerful method of assessing change in cognitive function after concussion, and in the absence of conflicting evidence, should be adopted as a conservative approach to identifying post-concussive cognitive deficits in children as it is in adults. The central issue is how often baseline testing should be conducted. During the period of rapid cognitive maturation (8–15 years of age), baseline testing would have to be performed at least 6 monthly to enable accurate comparison for serial testing. Apart from elite junior athletes, such regular testing would be beyond the resources of most sports and individuals. For any child or adolescent athlete participating in collision sport or where there is a significant risk of concussion, annual cognitive testing should be considered. Any statistical decision about whether cognition has changed from baseline following concussion must also include an adjustment for developmental changes in cognition. This in turn requires knowledge of how performance changes on specific tests over time. Figure 1 demonstrates the cognitive maturation process that is maximal between 8 and 15 years of age and gives an estimate of the degree of this change. Beyond 15 years of age an annual baseline test would be suitable, as for adult athletes, and be applied in the same fashion as for adults and without any developmental increment.

**THE CLINICAL MANAGEMENT OF CONCUSSION IN CHILDREN**

Current adult management of concussion involves an initial diagnosis using a validated assessment tool such as the Maddocks questions\textsuperscript{36} or the Standardized Assessment of Concussion.\textsuperscript{37} Neither tool has been specifically tested or validated in children with concussion.

One preliminary study suggests that high school aged children (14–18 years) may also have prolonged cognitive recovery when compared with younger adults (18–25 years), but that symptom recovery is equivalent between these groups.\textsuperscript{38} This finding raises the possibility that symptom ratings and cognitive testing may be differentially sensitive to concussion in minors and adults; however, there is no such information available for younger children. This in turn raises concerns as to how sport related concussion assessment may be performed in these age groups and the validity of existing assessment tools.

Return to play concussion guidelines recommend baseline cognitive evaluation of all individuals participating in contact and collision sport.\textsuperscript{1} The heterogeneity of concussion ensures that individual comparison to baseline allows more sensitive identification of post-concussion symptom elevation and cognitive dysfunction than arbitrary classification according to a retrospective grading scale.\textsuperscript{39–41}

Statistical models used to determine the significance of any observed post-concussion cognitive change require knowledge of how cognitive test performance and symptom ratings change in
healthy, uninjured individuals. In adult populations (and potentially children aged 16 years and over) available data suggest that cognitive performance remains relatively stable over time on tests commonly used in concussion management. These findings support the need for prospective serial investigation of cognitive and behavioural function in healthy and concussed children and adolescents, including specific assessment of how cognitive processes (including response variability) change within individuals. Such data will be invaluable in informing the development of concussion management guidelines in this population, and the interpretation of post-concussion cognitive test data.

The issue of neuroimaging is often raised for children following mild head injury. With young patients, this issue is often problematic given that they may require a general anaesthetic in order to obtain adequate images, although new generation spiral CT scanners are able to perform extremely rapid imaging sequences. If imaging is desired by the health practitioner in this setting or by the subsequent development of symptoms of intracranial pathology, then CT scanning is the imaging modality of choice in the emergency setting. The American Academy of Paediatrics guidelines state that there is no indication for routine use of skull x ray in paediatric concussion and “no data are available that demonstrate that children who undergo CT scanning early after minor closed head injury with loss of consciousness have different outcomes compared with children who receive observation alone after injury.”

In broad terms, a previously neurologically healthy child with a concussive injury who has normal mental status, no abnormal or focal abnormalities on neurological exam, and no physical evidence of skull fracture simply requires observation by a competent caregiver. The risk of clinically significant intracranial pathology in this setting is less than 0.02% although earlier studies had suggested higher figures. It is likely that many of these early studies suffered from selection bias and over estimated this risk in this situation.

SUMMARY
At the present time, there are no evidence based guidelines using which sport related concussive injury in childhood and adolescence can be scientifically managed. There are significant differences between adults and children in this regard and a child who is symptomatic following head injury is likely to have sustained a far greater impact force as compared to an adult with the same post-concussive symptoms.

The extent and duration of the cognitive effect on children with acute concussive injuries is variable and there may be persistent effects on scholastic performance and behaviour long after the clinical concussive symptoms and measurable neuropsychological impairment have resolved. Even subtle and transient impairments in attention and information processing skills can have a dramatic effect on the young person’s capacity to cope with school demands, with these issues being particularly critical for those at later secondary school levels.

Based on pilot data, cognitive matura-
tion is greatest in those under 15 years of age and beyond this time plateaus to an adult level of performance. Although comparison to baseline cognitive performance remains a powerful method of assessing function following a concussion injury, its application in children under 15 years of age is problematic given the rapid cognitive maturation that is occurring in this period. With regular baseline testing, an “adult” management strategy could be adopted in this age group, whereas in its absence only an estimate of normal age related cognitive function can be made. Beyond 15 years of age, it would be reasonable to follow the adult concussion management consensus guidelines utilising a “return to baseline” approach.

It is suggested that concussive symp-
toms take longer to resolve than in adults although this may be a surrogate marker of the biomechanical differences between children and adult concussion as outlined above. It is critical therefore that concussed children and adolescents not resume sport, school, or training until all the physical symptoms fully resolve. This is important also because of the risk of diffuse cerebral swelling that may occur in children after a single head injury no matter how trivial the impact may be. The adoption of a conservative adult management strategy with a thorough assessment of symptom resolution followed by “return to baseline” cognitive function remains the most appropriate management strategy in this age group.

Further research is required to char-
terise the duration and nature of the subclinical cognitive impairment that may exist during this recovery period in children. Increased awareness of these issues by those involved in the management of a child with concussion may assist in avoiding problems caused by this putative impairment.


REFERENCES

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Sport psychology and concussion: new impacts to explore

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In recent years, there has been great interest in examining the psychological effects of athletic injuries. This has also extended to interventions in which coping strategies have been suggested to enhance recovery. Concussive injuries, which are common to many sports, hold particular problems in this regard. For example, a concussed athlete may be prone to experience isolation, pain, anxiety, and disruption of daily life as a result of the injury. This may be a problem for individual sport athletes—for example, professional skiers—who do not have the support of team mates to help them through their rehabilitation and recovery, as well as team sport athletes whose team mates may inadvertently pressure them to return to play.

Besides the physical loss resulting from an injury, there may also be psychological distress. Commonly reported emotion responses resulting from athletic injury have included anger, denial, depression, distress, bargaining, shock, and guilt.1,2 These are particularly seen in career ending injuries. Such emotional distress can negatively affect the athletes’ recovery process.

"...concussed athletes in team sports seem to have fewer long term problems"

Injured athletes have also reported feelings of isolation and loneliness. Researchers found that athletes prevented from participating in their activity have lost contact with their team, coach, and friends.3 For example, Gould et al.4 examined the emotional reactions of US national team skiers to season ending injuries and found that 66.6% cited lack of attention and isolation as a source of stress during their injury. In another study of injured athletes, Brewer et al.5 surveyed 43 sports medicine practitioners to discover side effects of psychological distress. These side effects included exercise addiction, weight control problems, family adjustment, and substance abuse. These problems have been reported individually as well as being associated with depression and anxiety and have been shown to cause severe health complications.6

Injured athletes have reported different levels of satisfaction with the social support they have received after injury. In particular, team mates have been shown to have a greater affect on the emotional state of injured athletes than coaches or medical professionals.7 This leads one to speculate that individual sport athletes may experience different adjustment difficulties while recovering from a concussion. This may also suggest why concussed athletes in team sports seem to have fewer long term problems than athletes in individual sports.2,8 This is supported by the fact that athletes in team sports have the support of their team mates while recovering from an injury.

UNIQUENESS OF CONCUSSION INJURIES

A number of unique characteristics of concussion injuries exist. Firstly, a concussion is an “invisible injury”. This
means there are no crutches, swelling, stitches, or other visual signs of the injury. This makes it very difficult for a casual observer to identify the athlete as injured. A second unique characteristic of concussion injuries is the overlap of post-concussive symptoms with psychological responses to injury. A third problem may be a loss of fitness (through loss of both aerobic and resistance training activity), particularly if the post-concussive symptoms persist. A fourth problem, unique to professional sport, is the relentless media commentary that typically occurs after injury. This increases pressure on injured athletes to regain their sporting place.

“…it is often not considered acceptable to spend prolonged time reha-
ilitating a concussion injury”

Another issue surrounding concussion injuries is the lack of acceptance or understanding of long term rehabili-
tation. Whereas it is often considered necessary to rehabilitate an orthopaedic injury for a number of months, it is often not considered acceptable to spend prolonged time rehabilitating a concussion injury. This may result in more anxiety and frustration for the athlete and coach. Finally, concussion injuries are unique because there is at present no standard intervention technique. For most other injuries, rehabilitative treat-
ments are available—for example, phy-
siotherapy, medication, exercise, surgery, etc. However, this is not the case for concussion injuries; the athlete may leave the doctor with either no specific management or at best a mini-
mally structured treatment plan.

SOCIAL SUPPORT GROUPS

It is not uncommon for people who have experienced life events such as addic-
tion, illness of a family member, injury, or a significant loss to have difficulty dealing with the stress and anxiety of their situation. As a result of the distress encountered, different methods of coping and psychological support have been developed. Throughout the last 20 years, the role of social support in dealing with disease has increased significantly. Support groups have been developed to educate, prevent isolation, and help in coping. Positive outcomes from support groups have been proven effective for sufferers of AIDS, cancer, obsessive compulsive disorder, and pregnancy loss, and families of critically ill patients. It has also been shown that general social support is beneficial for athletes suffering from injuries. A support group for injured athletes may also provide the same benefits as it has for non-athletic populations.

Intervention strategies and psychological rehabilitation techniques have included imagery, relaxation, modelling, goal setting, positive self talk, social support and support groups, pain man-
agement, simulation training, education, stress management, and cognitive reconstruction. Social support and communication have been two most commonly suggested rehabilitation strate-
gies. Lynch stressed the importance of the sport psychologist in encouraging athletes to discuss their experiences with others. These interactions were designed to help reduce the injured athlete’s feelings of isolation and lone-
liness.

SOCIAL SUPPORT IN ATHLETIC INJURY REHABILITATION

Two distinct types of social support have been used during injury rehabilitation in an athletic setting: support groups and peer modelling. Support groups for injured athletes have allowed injured athletes to come together to voice their concerns, share ideas about coping, learn vital performance enhancement strategies, and realise that they are not alone. The goal is that athletes will support one another both mentally and physically by helping deal with the demands of rehabilitation and not particip-
ting in their sport.

Numerous studies on non-athletic populations have been published showing the benefits of support groups in reducing anxiety, depression, and isolation and enhancing coping strategies. To date there have been very few academic sources that have addressed the use of support groups for athletic injury and only one for concussion. Granito et al offered anecdotal support for an injured athlete support group programme, and Horton et al attempted to determine if participation in social support groups could reduce negative psychological side effects in concussion. It was shown that participants in the experimental concussion group improved their mood state, redu-
cing effects such as anger, confusion, frustration, anxiety, depression, and isolation.

Social support has also been proven to have a significant effect on rehabili-
tation adherence. Udry reported that the most discriminating factor for rehabilita-
tion adherence was level of social support. Athletes who perceived social support for their rehabilitation had better rehabilitation adherence, higher levels of motivation, and adopted a goal mastery orientation towards their rehabili-
tation.

SUMMARY

The use of sport psychology techniques in the management of concussion may assist in solving some of the real and very practical problems facing clinicians, namely certain aspects of the post-
concussive syndrome and the influence of anxiety and other adverse psychological states, which in turn may impact on injury outcome. Techniques derived from other areas of psychology such as peer modelling may be successfully used in this setting.


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REFERENCES

To treat or not to treat: new evidence for the effectiveness of manual therapy

M M Sran

Manual therapy has been shown to be effective for certain conditions but more research is needed to identify other suitable patients.

Recent randomised clinical trials found manual therapy to be more effective than other methods of conservative management for low back and neck pain. On the other hand, some randomised clinical trials, systematic reviews, and meta-analyses concluded that there was no evidence that spinal manipulative therapy is superior to other standard treatments for patients with low back or neck pain. This provides the clinician with a Shakespearean quandary—to treat or not to treat using manual therapies? Therefore this leader addresses the question: what explains these apparently inconsistent data?

DEFINITIONS AND SEARCH STRATEGY

The term manual therapy has many connotations, but for this leader it includes manually performed assessment and treatment methods (which can include joint, neural tissue, and/or muscle techniques). The term manipulation is typically used to describe small amplitude thrust techniques performed with speed.

I searched Medline, Cinahl, and Embase databases for randomised clinical trials comparing spinal manual joint techniques (mobilisation with or without manipulation) or manipulation only with other conservative treatments for back or neck pain. Only studies published as full papers, in English, between 1 January 1998 and 31 December 2003 were included. Pilot studies were not included. Table 1 outlines search strategies for each database.

<table>
<thead>
<tr>
<th>Database</th>
<th>MeSH headings</th>
<th>Limits</th>
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<tr>
<td>Medline</td>
<td>Manipulation, orthopaedic</td>
<td>Human</td>
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<td></td>
<td>Manipulation, chiropractic</td>
<td>English</td>
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<td></td>
<td>Manipulation, osteopathic</td>
<td>1998–2003</td>
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<td></td>
<td>Physical therapy techniques</td>
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<td></td>
<td>Musculoskeletal manipulations</td>
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<td></td>
<td>Comparative study</td>
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<td></td>
<td>(Back or neck) and pain</td>
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<td>Cinahl</td>
<td>Manual therapy</td>
<td>English</td>
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<td></td>
<td>Chiropractic</td>
<td>Clinical trial</td>
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<td></td>
<td>Chiropractic manipulation</td>
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<td></td>
<td>Manipulation, orthopaedic</td>
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<td></td>
<td>Osteopathy</td>
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<tr>
<td>Embase</td>
<td>Manipulative medicine</td>
<td>Human</td>
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<tr>
<td></td>
<td>(Back or neck) and pain</td>
<td>English</td>
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<td></td>
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<td>1998–2003</td>
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Differences in manual therapy that may explain study findings

Whether or not the study used manual therapy or manipulation only

Four of the 13 studies reported better results in the manual therapy group than the other group(s). Five of the remaining nine studies used manipulation only, and all but one reported no significant difference or a poorer response than the other group(s). Use of a variety of manual therapy techniques, rather than joint manipulation alone, appears to yield better results. For example, Jull et al studied the effectiveness of manual therapy delivered by physical therapists, specific exercise therapy delivered by physical therapists, combined manual and specific exercise therapy, and a control group, for treatment of cervicogenic headache. At the 12 month follow up, both manual therapy and specific exercise groups had significantly reduced headache frequency and intensity, neck pain, and disability. In this study, manual therapy included both low velocity cervical joint mobilisation techniques and high velocity manipulation techniques. These results are relevant to physical therapists with postgraduate certification in manual therapy, as they are well trained in both of these techniques. Similarly, Hoving et al...
<table>
<thead>
<tr>
<th>Reference</th>
<th>Population characteristics (n)</th>
<th>MT limited to manipulation only</th>
<th>MT delivered by:</th>
<th>Clinically relevant, guideline based MT</th>
<th>Interventions, groups</th>
<th>Control group</th>
<th>Dose (MT or manipulation)</th>
<th>Results</th>
<th>Effect size for positive studies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aure et al</td>
<td>20–60 years; chronic LBP &gt;8 weeks, less than 6 months (49)</td>
<td>No</td>
<td>Physical therapists</td>
<td>Yes</td>
<td>1. MT plus ET. 2. ET alone</td>
<td>No</td>
<td>45 min (15 min MT); 2 sessions/week, 8 weeks</td>
<td>Significantly larger improvements in MT group (maintained at 1 year follow up)</td>
<td>0.78</td>
</tr>
<tr>
<td>Hoving et al</td>
<td>18–70 years; pain or stiffness in the neck for at least 2 weeks (183)</td>
<td>No</td>
<td>Physical therapists</td>
<td>Yes</td>
<td>1. MT plus specific exercise training. 2. Active exercise focused physical therapy. 3. Continued care by GP</td>
<td>No</td>
<td>45 min; 1 session/week for up to 6 weeks</td>
<td>Physical therapy including MT more effective than physical therapy without MT or continued care by a GP</td>
<td>Not given</td>
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<tr>
<td>Jull et al</td>
<td>18–60 years; cervicogenic headache at least 1×/week for 2 months–10 years (200)</td>
<td>No</td>
<td>Physical therapists</td>
<td>Yes</td>
<td>1. MT. 2. ET (low load endurance training). 3. Combined MT and ET. 4. Control</td>
<td>Yes</td>
<td>30 min, 8–12 sessions, 6 weeks</td>
<td>MT as effective as ET and both significantly better than control</td>
<td>0.80</td>
</tr>
<tr>
<td>Moseley</td>
<td>Chronic LBP &gt; 2 months (57)</td>
<td>No</td>
<td>Physical therapists</td>
<td>Yes with respect to clinical relevance (individualised and variety of techniques allowed but no references cited for MT techniques)</td>
<td>1. MT, specific exercise training, and neurophysiology education. 2. Medical management by GP</td>
<td>No</td>
<td>2×/week, 4 weeks</td>
<td>Combined physiotherapy treatment including MT, specific exercise training, and neurophysiology education resulted in improved function and pain at 1 and 12 months.</td>
<td>Not given</td>
</tr>
<tr>
<td>Giles &amp; Muller</td>
<td>17 years or older; mechanical back or neck pain for a minimum of 13 weeks (115)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>Yes for LBP. No for neck pain</td>
<td>1. Spinal manipulation. 2. Sports physician follow up (limited) and medication. 3. Acupuncture (needle)</td>
<td>No</td>
<td>20 min, 2×/week, maximum 9 weeks</td>
<td>Greater short term benefit for manipulation, but not for neck pain. Acupuncture more effective for neck pain.</td>
<td>Not given</td>
</tr>
<tr>
<td>Andersson et al</td>
<td>20–59 years; LBP lasting at least 3 weeks but less than 6 months (178)</td>
<td>No</td>
<td>Osteopaths</td>
<td>Yes</td>
<td>1. Osteopathic treatment 2. “Standard care” by physicians</td>
<td>No</td>
<td>1×/week for 4 weeks then 1×/2 weeks for 8 weeks</td>
<td>No significant differences between groups. Both groups improved</td>
<td>Not given</td>
</tr>
<tr>
<td>Bronfort G et al</td>
<td>20–65 years; mechanical neck pain for at least 12 weeks (191)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>No. A reference for the use of spinal manipulation for LBP is cited, but only cervical and thoracic spine techniques were used.</td>
<td>1. Spinal manipulation plus upper body and neck strengthening exercise. 2. Aerobic exercise plus MedX cervix extension and rotation machine. 3. Spinal manipulation</td>
<td>No</td>
<td>20 × 1 hour sessions over 11 weeks</td>
<td>No significant differences between groups with respect to pain, neck disability, medication use</td>
<td>Not given</td>
</tr>
<tr>
<td>Cherkin et al</td>
<td>20–64 years; LBP minimum 7 days after seeing physician (321)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>No, side lying only</td>
<td>1. Chiropractic manipulation. 2. Education booklet. 3. McKenzie exercises</td>
<td>No</td>
<td>Up to 9 × over 1 month</td>
<td>No significant difference between groups</td>
<td>Not given</td>
</tr>
<tr>
<td>Curtis et al</td>
<td>21–65 years; acute LBP of less than 2 months (295)</td>
<td>No</td>
<td>Chiropractors</td>
<td>No</td>
<td>1. Manipulation and muscle energy techniques plus enhanced care 2. Enhanced care alone</td>
<td>No</td>
<td>Initial plus 4 follow ups; 2×/wk for 2 weeks</td>
<td>Only 43% of patients in the MT group actually received the planned treatment; no significant difference between groups</td>
<td>Not given</td>
</tr>
</tbody>
</table>
compared physical therapy including manual therapy with physical therapy without manual therapy for patients with chronic neck pain. Of note, they allowed the use of low velocity joint mobilisations but no high velocity low amplitude thrust techniques (synonymous with “manipulation”).

**Was the choice of intervention based on clinically relevant treatment guidelines (“best practice”) of the discipline?**

Assessment and treatment protocols used in randomised controlled trials (RCTs) are not always similar to clinical practice guidelines, which are typically textbooks or guidelines written by experts in the field/discipline and based on current available evidence. Treatment protocols that do not mirror clinical practice have been examined in some studies. For example, Andersson et al \(^6\) compared osteopathic treatment (including manual therapy) with “standard care” by doctors. However, the reported standard care included medication, active physical therapy, ultrasonography, diathermy, hot or cold packs (or both), use of a corset, or transcutaneous electrical nerve stimulation (TENS). Clearly health maintenance organisation doctors do not have the time (45 minutes), equipment, or skills—that is, active physical therapy—to provide this treatment. Further, two of the groups studying manipulation by chiropractors included participants with back or neck pain, yet they only cited references for low back pain management.\(^5\)\(^7\) Three studies used very restricted manual assessment and/or treatment techniques which do not reflect best practice. Three of the five studies with positive results used manual treatment (by physical therapists) based on published guidelines or clinical texts written by experts in the field.\(^1\)\(^-\)\(^3\)

### The dose of manual therapy or manipulation (minutes, sessions, weeks)

The optimal dose is also a consideration. Time per session, number of sessions, and number of weeks are all important factors for therapists, patients, and payors.

Knowing the optimal treatment duration has obvious implications on cost effectiveness, but probably also has an impact on the effectiveness of manual therapy. Despite the importance of these variables, there is great variability between the protocols used in these 13 studies. One study compared chiropractic care only, medical care only, medical care with limited physical therapy, and chiropractic care with modalities but did not prescribe a treatment dose.\(^1\)\(^1\)

### Table 2

Continued

<table>
<thead>
<tr>
<th>Reference</th>
<th>Population characteristics (n)</th>
<th>MT limited to manipulation only</th>
<th>MT delivered by:</th>
<th>MT limited to manipulation only</th>
<th>Population characteristics (n)</th>
<th>MT limited to manipulation only</th>
<th>MT delivered by:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hsieh et al (^10)</td>
<td>18 years or older; LBP, 3 weeks and less than 6 months (200)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>Yes</td>
<td>Clinical relevance, guideline based MT</td>
<td>No, limited techniques</td>
<td></td>
</tr>
<tr>
<td>Hurwitz et al (^11)</td>
<td>18 years or older; LBP (681)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>Yes</td>
<td>Clinical relevance, guideline based MT</td>
<td>No, limited techniques</td>
<td></td>
</tr>
<tr>
<td>Jordan et al (^12)</td>
<td>20–60 years; chronic neck pain, 6 months (67)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>Yes</td>
<td>Clinical relevance, guideline based MT</td>
<td>No, limited techniques</td>
<td></td>
</tr>
<tr>
<td>David et al (^13)</td>
<td>18–75 years; neck pain, &gt;6 weeks duration (73)</td>
<td>Yes</td>
<td>Chiropractors</td>
<td>Yes</td>
<td>Clinical relevance, guideline based MT</td>
<td>No, limited techniques</td>
<td></td>
</tr>
</tbody>
</table>

MT, Manual therapy; ET, exercise therapy; LBP, low back pain.
However, they did monitor use of the various treatment modes and time per session and found that one third of patients randomly assigned to medical care with physical therapy had no physical therapy visits, and 20% of patients in the chiropractic groups received concurrent medical care, whereas only 7% of patients in the medical care groups received concurrent chiropractic care. They also report that chiropractors and medical providers in their study spent an average of 15 minutes with patients at each visit, and physical therapists averaged 31 minutes per patient visit.

Only six studies reported the time per session. Time varied from 20 to 60 minutes per treatment. Of interest, three of the five studies with positive results allowed between 30 and 45 minutes per treatment. One (of the studies with positive results) did not report treatment time, and the other had mixed results (positive for back pain but not for neck pain) and allowed 20 minutes per treatment. The total number of sessions varied from 5 to 20, with a frequency of between once a week and three times a week. Some studies did not prescribe a minimum number of sessions a week (table 2). The number of weeks of treatment varied from 3 to 12. Of note, the five studies with positive results used between four and nine weeks of treatment.

Combination therapies
A number of studies have investigated a combination of therapies such as two healthcare professionals or a combination of therapies such as two physical therapists. A number of studies have examined the use of two exercises, and neuro-physiology education. Similar positive results were not seen in chiropractic studies of spinal manipulation combined with exercise or modalities.

METHODOLOGICAL FACTORS
This critical appraisal also examined two key methodological factors that can influence randomised RCT findings. Firstly, the presence or absence of a control group is an important factor, yet only one study had a control (table 2). Secondly, an important issue when examining discordant outcomes of RCTs is power, as underpowered studies can lead to type II error. Fewer than one third of the studies reviewed reported prospective power calculations, and one study reported what appears to be retrospective power.

Retrospective power has limitations as described in detail elsewhere, thus all RCTs should calculate power a priori.

SUMMARY AND CONCLUSIONS
In summary, I return to the question that was the genesis of this leader, what explains the apparently inconsistent data in the field of manual therapy outcomes? Critical appraisal suggests that more precise interventions are successful in treating low back pain, chronic neck pain, and cervicogenic headache. There are clinically relevant differences between studies reporting positive results of manual therapy and those reporting no significant difference over other conservative treatments. Specifically, the treatment protocol needs to reflect what therapists are actually doing in clinical practice—that is, using more than one manual therapy technique or combining manual therapy with other modes of treatment such as specific exercise training. Interventions based on best practice guidelines/texts appear to be more successful, and physical therapy including manual therapy at a dose of 30–45 minutes per session, for four to eight weeks has been shown to be effective.

Further research is needed to identify populations who are most likely to improve with manual therapy. For example, Flynn et al identified five variables to form a clinical prediction rule for patients with low back pain who are likely to respond favourably to a specific manipulative technique. In that study decisions on the side to be manipulated were not based on clinical best practice guidelines and only one manipulation technique was used (thus not representative of clinical practice), yet this approach to refine clinically relevant procedures may prove very useful.

Finally, manual therapy is not only used in the treatment of low back and neck pain. Further investigations of the effectiveness of manual therapy in special populations are needed. Pilot studies have been conducted in patients with thoracic pain, cervicocephalai pain syndrome, and we have conducted studies on the safety of manual therapy in the osteoporotic spine. The next step is for researchers to conduct well designed RCTs to determine the effectiveness of manual therapy for pain and disability in these populations.

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(Br J Sports Med 2004;38:e21) http://bjsm.bmjjournals.com/cgi/content/full/38/5/e21
Impacts to explore

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G A Bloom, A S Horton, P McCrory and K M Johnston

doi: 10.1136/bjsm.2004.011999

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