Gluteal muscle activity and patellofemoral pain syndrome: a systematic review

Christian J Barton, Simon Lack, Peter Malliaras, Dylan Morrissey

ABSTRACT

Objective There is growing evidence to support the association of gluteal muscle strength deficits in individuals with patellofemoral pain syndrome (PFPS) and the effectiveness of gluteal strengthening when treating PFPS. In addition, an impressive body of work evaluating gluteal electromyography (EMG) has recently emerged, further supporting the importance of gluteal muscle function in PFPS. This systematic review synthesises these EMG findings in order to better understand the role of gluteal muscle activity in the aetiology, presentation and management of PFPS.

Methods MEDLINE, EMBASE, CINAHL, Web of Knowledge and Google Scholar databases were searched in September 2011 for prospective and case-control studies evaluating the association of gluteal EMG with PFPS. Two independent reviewers assessed each paper for inclusion and quality. Means and SDs were extracted from each included study to allow effect size calculations and comparison of results.

Results Ten case-control, but no prospective studies were identified. Moderate-to-strong evidence indicates gluteus medius (GMed) activity is delayed and of shorter duration during stair negotiation in PFPS sufferers. In addition, limited evidence indicates GMed activity is delayed and of shorter duration during running, and gluteus maximus (GMax) activity is increased during stair descent.

Conclusions Delayed and shorter duration of GMed EMG may indicate impaired ability to control frontal and transverse plane hip motion. Further research evaluating the value of gluteal muscle activity screening in identifying individuals most likely to develop PFPS, and the effectiveness of interventions targeting changes to gluteal muscle activation patterns is needed.

INTRODUCTION

Patellofemoral pain syndrome (PFPS) is one of the most common presentations to sports medicine practitioners. In a large study of 2519 presentations to a sports medicine clinic, 5.4% were diagnosed with PFPS, accounting for 25% of all knee injury presentations. In addition, incidence estimates range between 9% and 15% in active populations. Despite debate regarding the source of pain, consensus that PFPS results due to altered or elevated lateral patellofemoral joint (PFJ) stress currently exists. Multiple factors are thought to lead to altered lateral PFJ stress, with various extrinsic and intrinsic biomechanical characteristics thought to be involved. One particular intrinsic biomechanical factor that has received increasing attention within previous literature is neuromuscular control at the knee and the hip. Traditionally, research has focused on muscle function of the vasti, with imbalance between vastus medialis oblique (VMO) and vastus lateralis (VL) thought to elevate lateral PFJ stress. Providing tentative evidence to support this theory, a recent systematic review and meta-analysis reported a delayed onset of VMO relative to VL may exist in some individuals with PFPS. In addition, previous research indicates reversal of this delay through physiotherapy may be associated with better clinical outcomes.

With developing clarity about the role of muscles acting primarily at the knee, it is an ideal time to consider neuromuscular control of the hip in more detail. Recent research and theoretical analyses have expanded the neuromuscular control focus to address this. It is theorised that impaired gluteal muscle function may result in increased hip joint adduction and internal rotation movement during activities such as running, squatting and stair negotiation. This excessive hip motion is proposed to increase lateral PFJ stress, associated with PFPS development. Supporting this theory, gluteal muscle strengthening programmes have been associated with positive clinical outcomes. In addition, a recent systematic review found that individuals with PFPS exhibit reduced gluteus medius (GMed) and gluteus maximus (GMax) muscle strength.

Despite the growing evidence to support the efficacy of gluteal muscle strengthening and indicating individuals with PFPS possess impaired gluteal muscle strength, one recent prospective study reported PFPS development may not be predicted through gluteal muscle strength testing, while another reported greater hip external rotation strength may be predictive. This may indicate gluteal muscle weakness develops due to the presence of PFPS rather than being an aetiologial factor. Regardless of the true relationship, evaluating gluteal muscle strength in isolation does not provide a complete picture of the influence of gluteal muscle function on PFPS. Indeed, isometric strength tests may relate only loosely to functional muscle activity, kinematics or joint forces. Addressing this gap, an impressive body of work has recently emerged, utilising electromyography (EMG) measurement of the gluteal muscles during a range of functional tasks, often reporting differences in onset times, amplitude levels and/or activity durations between symptomatic and control participants. A systematic literature review designed to synthesise recent EMG findings in order to
better understand the role of gluteal muscle activity in the aetiology, presentation and management of PFPS was therefore undertaken. This review aims to provide clinicians with a better understanding of the relationship between gluteal muscle activity and PFPS with the ultimate objective of facilitating improved patient management, while also identifying priorities for future research.

METHODS

Inclusion and exclusion criteria
Prospective and case–control studies evaluating gluteal EMG variables were considered for inclusion. The inclusion criteria required participants to be described as having patellofemoral pain, anterior knee pain or chondromalacia patellae. Studies including participants with other knee conditions such as patellar tendinopathy or osteoarthritis, where individuals with PFPS could not be separately analysed, were excluded.

Search strategy
MEDLINE, EMBASE, CINAHL, Web of Knowledge and Google Scholar databases were searched from inception until September 2011. A search strategy from the Cochrane systematic review on exercise therapy for PFPS was used for diagnosis search terms.23 This was then combined with the key terms EMG or muscle; and gluteal or hip or trunk or proximal. Reference lists and citing articles of included papers were also screened and a cited reference search for each included paper was completed in Google Scholar for additional publications of interest. Unpublished research was not sought. Although this may potentially lead to publication bias,24 it was deemed impractical to identify all unpublished work on EMG activity associated with PFPS from all authors and institutions around the world interested in this research area.

Review process
Titles and abstracts identified in the search were downloaded into Endnote VX4 (Thomson, Reuters, Carlsbad, California, USA), cross referenced and any duplicates deleted. All potential publications were assessed by two independent reviewers (CB and SL) for inclusion, with full texts obtained if necessary. Any discrepancies were resolved during a consensus meeting, and a third reviewer was available if needed, but was not required.

Study analysis
Two separate scales were used to evaluate methodological quality, including a modified version of the Downs and Black Quality Index25 and the PFPS diagnosis checklist.26 Each scale was applied by two reviewers (CB and DM), with discrepancies resolved during a consensus meeting. A third reviewer was available if needed, but was not required. The diagnosis checklist is a seven-item scale summarising the reporting of key inclusion and exclusion criteria for the diagnosis of PFPS, with higher scores indicating a greater number of desired criteria had been reported. The modified version of the Downs and Black Quality Index25 is scored out of 16, with higher scores indicating higher-quality studies. Studies with scores of 10 or greater were considered to be ‘high quality’ (HQ) and studies with scores below 10 were considered to be ‘low quality’ (LQ).

Sample sizes, participant demographics, population sources, activities, muscles and variables evaluated were also extracted.

Table 1  Study details including sample sizes, participant demographics and population sources

<table>
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<tr>
<th>Paper</th>
<th>Sample size</th>
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<td></td>
<td>PFPS</td>
<td>CON</td>
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<td>20</td>
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<td>NR (21±3)</td>
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<tr>
<td></td>
<td></td>
<td>CON</td>
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<td>NR (21±4)</td>
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<td></td>
<td></td>
<td>CON</td>
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<td></td>
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<td>18–42 (23±2)</td>
</tr>
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<td>18–40 (25±6)</td>
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<td>13:3</td>
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<td></td>
<td>CON</td>
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<td>NR (21±6)</td>
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<td>9:0</td>
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<td>20</td>
<td>NR</td>
<td>NR</td>
</tr>
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<td></td>
<td></td>
<td>CON</td>
<td>18–45 (21±NR)</td>
<td>18–45 (23±4)</td>
</tr>
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<td>Saad et al37</td>
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<td>15</td>
<td>NR</td>
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</tr>
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<td></td>
<td></td>
<td>CON</td>
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<td>NR (23±2)</td>
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<td>Souza and Powers35</td>
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<td>21:0</td>
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<td></td>
<td></td>
<td>CON</td>
<td>18–35 (21±3)</td>
<td>18–35 (22±5)</td>
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</tbody>
</table>

*Comprised of two groups; (1) low pain≤1.4 cm increase in pain visual analogue scale following aerobic exercise, n=9 and (2) high pain≥1.4 cm increase in pain visual analogue scale following aerobic exercise, n=11.
CON, control; F, female; M, male; NR, not reported; PFPS, patellofemoral pain syndrome.
Means and SDs of each variable were extracted or sought from original authors to allow effect size (ES) calculations. Data were pooled where studies evaluated the same EMG variable and functional activity. Calculated individual or pooled ES were statistically significant or non-significant pooled result.

**Strong evidence** = pooled results derived from three or more studies, including a minimum of two HQ studies, which are statistically homogenous (p>0.05)—may be associated with a statistically significant or non-significant pooled result.

**Moderate evidence** = statistically significant pooled results derived from multiple studies, including at least one HQ study, which are statistically heterogeneous (p<0.05); or from multiple LQ studies which are statistically homogenous (p>0.05).

**Limited evidence** = results from multiple LQ studies which are statistically heterogeneous (p<0.05); or from one LQ study.

**Very limited evidence** = results from one LQ study.

**Conflicting evidence** = pooled results insignificant and derived from multiple studies regardless of quality which are statistically heterogeneous (p<0.05, ie, inconsistent).

### RESULTS

Details of the search results and process of inclusion/exclusion is shown in figure 1. Following screening of titles and abstracts, 13 publications were retained to view full text. Of these, three were excluded. Reasons for exclusion were evaluation of a non-functional task, evaluation of an elderly population and use of a single case study design. Ten case–control studies were included for final review. No prospective studies were identified. All 10 studies evaluated EMG activity of GMed, while 2 studies evaluated GMax. Study details including sample sizes and participant demographics and population sources are shown in table 1. The majority of studies contained low participant numbers, averaging just 16 PFPS and 18 control participants and only 1 completed a sample size calculation. Population sources, activities, muscles and variables evaluated are shown in table 2.

### Quality assessment

Results from the Downs and Black scale and diagnosis checklist are shown in tables 3 and 4, respectively. Scores for the Downs and Black scale ranged from 5 to 14 of a possible 16. Of the 10 studies, 6 were rated as high-quality scoring between 11 and 14, 31–36 and 4 were rated as low-quality scoring between 5 and 9. 37–40 Of particular note was that only one study blinded to assignment of intervention.

### Table 2: Population sources, activities, muscles and variables evaluated in each included study

<table>
<thead>
<tr>
<th>Paper</th>
<th>Population source</th>
<th>Functional activity</th>
<th>Muscles (method)</th>
<th>EMG variable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aminaka et al30</td>
<td>University student population and surrounding community</td>
<td>Stair (18 cm) ascent and descent at s伊利-selected speed</td>
<td>GMed (surface)</td>
<td>Onset timing (threshold of 3 SD increase in EMG activity from resting)</td>
</tr>
<tr>
<td>Brindle et al31</td>
<td>University student population and surrounding community</td>
<td>Stair (18 cm) ascent and descent at natural speed</td>
<td>GMed (surface)</td>
<td>Duration of activity</td>
</tr>
<tr>
<td>Boling et al32</td>
<td>One university clinic and university population</td>
<td>Stair (20 cm) ascent and descent at 96 steps/min</td>
<td>GMed (surface)</td>
<td>Onset timing (threshold of 3 SD increase in EMG activity from resting)</td>
</tr>
<tr>
<td>Cowan et al33</td>
<td>Not reported</td>
<td>Stair (22 cm) ascent (single step up as quickly as possible in response to visual prompt)</td>
<td>Ant. GMed (surface)</td>
<td>Onset ( unidentified visually)</td>
</tr>
<tr>
<td>Cowan et al34</td>
<td>Three local physical therapy and sports medicine clinics</td>
<td>Lateral step down (20.3 cm) with a 1 s descent</td>
<td>GMed (surface)</td>
<td>Onset timing (threshold of 3 SD increase in EMG activity from resting)</td>
</tr>
<tr>
<td>Nakagawa et al35</td>
<td>Single physical therapy clinic</td>
<td>Stair (20 cm) descent at 96 steps/min</td>
<td>GMed (surface)</td>
<td>Average magnitude of activity (%MVC—linear envelope from onset to foot strike)</td>
</tr>
<tr>
<td>Ott et al36</td>
<td>Not reported</td>
<td>Single leg anterior reaching task</td>
<td>GMed (intramuscular)</td>
<td>Average magnitude of activity (linear envelope for the duration of activity)</td>
</tr>
<tr>
<td>Saad et al37</td>
<td>Convenience, local physical therapy and orthopaedic clinics</td>
<td>Lateral step down (20.3 cm) with a 1 s descent</td>
<td>GMed (surface)</td>
<td>Average magnitude of activity (%MVC—linear envelope from onset to foot strike)</td>
</tr>
<tr>
<td>Souza and Powers38</td>
<td>Double leg drop jump landing (35 cm)</td>
<td>Over ground running (15 m run-way) at 3 m/s</td>
<td>GMed (surface)</td>
<td>Average magnitude of activity (%MVC—average over stance period)</td>
</tr>
</tbody>
</table>

**EMG, electromyography; GMax, gluteus maximus; GMed, gluteus medius; MVC, maximal voluntary contraction.**
the outcome assessor and only two studies31 32 reported the validity and reliability of their methodology. Additionally, in all lower-quality studies37–40 there was a lack of, or inadequate consideration in relation to confounding factors (items 5 and 25), and inappropriate matching between cases and controls.37–40 Scores from the diagnosis checklist ranged from 1 to 7, indicating large heterogeneity in reporting and/or definition of inclusion/exclusion criteria used.

**Onset time of muscle activation**

Seven studies31–34 36 38 39 evaluated GMed onset timing during functional tasks, and one study31 evaluated GMax (see figure 3). Strong evidence indicates individuals with PFPS exhibit delayed GMed onset during stair descent (two HQ34 36 and two LQ38 39 studies; I²=51%, p=0.10), with a small pooled ES (−0.53, −0.91 to −0.15). Moderate evidence indicates that individuals with PFPS exhibit delayed GMed onset during stair ascent (three HQ32 34 36 and one LQ39 study; I²=67%, p=0.02) with a small ES (−0.52, −0.85 to −0.19). Limited evidence indicates individuals with PFPS exhibit delayed GMed onset during running (one HQ study31) with a medium ES (−0.74, −1.38 to −0.10). Single HQ studies indicate limited evidence that GMed timing is not different during a lateral step down,33 and GMax timing is not different during running.31 In addition, one LQ study indicates very limited evidence that GMed timing is not different during a single leg jumping task.39

**Duration of muscle activation**

Four studies31 34 36 39 evaluated duration of muscle activity for GMed during functional tasks, and one study31 evaluated GMax (see figure 3). Strong evidence indicates individuals with PFPS demonstrate a shorter duration of GMed activity during stair ascent (two HQ34 36 and one LQ39 study; I²=32%, p=0.23), with a small pooled ES (−0.43, −0.84 to −0.02). Moderate evidence indicates individuals that with PFPS exhibit a shorter duration of GMed activity during stair ascent (two HQ34 36 and one LQ39; I²=70%, p=0.04) with a medium ES (−0.91, −1.34 to −0.47). Limited evidence indicates individuals with PFPS exhibit a shorter duration of GMed activity during running (one HQ study31) with a medium ES (−0.85, −1.50 to −0.20). A single HQ study indicates limited evidence that GMax timing is not different during running.31

**Muscle activation levels**

Five studies31 35 37 38 40 evaluated muscle activation levels (peak or average/linear envelope) for GMed during functional tasks, and two evaluated GMax (see figure 4). Only one variable was found to significantly differ, with limited evidence indicating increased average GMed activity during stair descent (one HQ study35), with a medium ES (0.80, 0.16 to 1.44). Moderate evidence indicates no differences in GMed average activity during running (two HQ31 35 studies; I²=2%, p=0.31). Limited evidence from one HQ study31 indicates no difference in peak GMed or GMax during running and drop jump landing. Very limited evidence indicates no difference in average GMed activity during walking,38 stair ascent,37 a single leg vertical jump38 or single leg anterior reach task.40 Conflicting evidence was found for average GMed activity during stair descent (one HQ and two LQ studies; I²=79%, p=0.009) and average GMax activity during running (two HQ; I²=80%, p=0.02).

**DISCUSSION**

This systematic review was completed to synthesise findings from previous research evaluating the association of gluteal
muscle activity with PFPS. There is currently moderate to strong evidence that GMed muscle activity is delayed and of shorter duration during stair ascent and descent in individuals with PFPS. In addition, limited evidence indicates that GMed muscle activity is delayed and of shorter duration during running. Limited evidence indicated that GMax muscle activity was increased during stair descent. However, the remaining findings related to activation levels for both GMed and GMax were generally inconsistent, possibly owing to heterogeneity of the condition, varying methodological quality, the small number of studies in some areas and/or data reduction and processing procedures of included studies.

**Onset time and duration of muscle activation**

Pooled data indicated moderate-to-strong evidence for delayed, and shorter, duration of GMed during stair negotiation; and limited evidence indicated delayed and shorter duration of GMed during running in individuals with PFPS. However, these activation pattern differences were not consistent across all studies and tasks (see figures 2 and 3). Importantly, findings from Boling et al indicated a trend towards earlier GMed

<table>
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<td>Cowan et al</td>
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<td>Aminaka et al</td>
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<td>Willson et al</td>
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<td>Saad et al</td>
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**Figure 2** Gluteal electromyography onset times during various functional tasks. (A) Gluteus medius and (B) Gluteus maximus. PFPS, patellofemoral pain syndrome; PGM, posterior gluteus medius (indwelling electrode). This figure is only reproduced in colour in the online version.
muscle activity during stair ascent (see figure 2A). When attempting to identify methodological differences to explain this disparate finding, it appears the methods for identifying GMed onset time were similar in the study by Boling et al\(^3\) (>3 SD above resting EMG activity) to other studies\(^3\) (>3–5 SD above resting EMG activity) where onsets were significantly later during stair negotiation. In addition, the age and gender balance was also similar across the related studies\(^3\) (see table 1) and no key consistent difference in inclusion/exclusion criteria including pain and function levels was apparent (see table 4). Therefore, this difference may reflect the multifactorial nature of PFPS.

Altered patterns of GMed muscle activity (ie, delayed onset and shorter duration) identified in this review may be a primary factor associated with PFPS in some individuals, although without prospective research it cannot be determined whether this relationship is one of cause or effect. Regardless, delayed and shorter duration of GMed may provide an explanation for greater hip adduction and internal rotation reported in some previous PFPS case-control studies evaluating lower-limb kinematics.\(^3\) If gluteal muscle activation is delayed, frontal and transverse plane hip motion control may be impaired, leading to increased stress on the PFJ and subsequent symptoms associated with PFPS. Supporting this theory, Willson et al\(^1\) recently reported a moderate correlation between delayed GMed onset time and greater magnitude of hip adduction excursion during running. Further research is needed to determine the relationship of GMed onset time and duration on kinematics at the hip and ultimately PFJ loading.

Muscle activation levels

For the majority of comparisons, GMed and GMax muscle activity levels did not differ between groups (see figure 4). This may indicate that the level of gluteal muscle activation is of less importance than activation pattern in relation to pathology in PFPS. However, prospective evaluation of gluteal muscle activity in those who develop PFPS is required to confirm this.

Conflicting findings related to average GMed muscle activity during stair descent and average GMax activity during running may be explained by methodological differences between identified studies. During running, Souza et al\(^3\) established average GMax activity over the stance period (ie, from foot strike until toe off), with findings indicating a significant increase in activity for the PFPS group. However, Willson et al\(^1\) established average GMax from activity onset to offset, taking into account activation prior to heel strike. Their findings indicated no differences between groups. Considering the methodological differences, these findings may indicate that the gluteal musculature demonstrates reduced activity prior to foot strike, followed by increased activity in response to loading in individuals with PFPS. Further evaluation of these different approaches and periods of activity in the same cohorts is needed in future research.

Conflicting findings were produced by three studies evaluating average GMed muscle activities during stair descent (see figure 4A). Specifically, one study\(^1\) indicated a significant increase, one study\(^1\) indicated a non-significant increase and one study\(^1\) indicated a significant decrease in activity in individuals with PFPS. One possible explanation for these conflicting findings may be the lack of control in relation to cadence by Saad et al\(^3\) whose findings indicated a significant decrease in average GMed activity during stair descent. Decreased ground reaction force was also found in the study by Saad et al\(^3\) possibly indicating reduced cadence and an attempt to reduce load on the PFJ. Such a reduction in ground reaction force may ultimately reduce the work required by the gluteal musculature and subsequent EMG activity. Both Nakagawa et al\(^3\) and Souza and Powers\(^3\) controlled cadence that may have reduced the ability to compensate, with their findings indicating greater GMed activity during stair descent. However, without evaluation of ground reaction force (GRF) in these studies, this possibility cannot be confirmed. Further research evaluating the influence of cadence and GRF on gluteal muscle activity is needed to provide clarity.

Figure 3 Gluteal electromyography durations during various functional tasks. (A) Gluteus medius and (B) Gluteus maximus. PFPS, patellofemoral pain syndrome. This figure is only reproduced in colour in the online version.
Clinical implications

Findings from this systematic review indicate that delayed and shorter duration of gluteal muscle activity may exist in individuals with PFPS. Considering this, specifically targeting interventions towards correcting these deficits (eg, biofeedback or gait retraining) should also be considered in the management of PFPS. Further research evaluating the effectiveness of such strategies compared to, or combined with effective hip-strengthening programmes is needed. This systematic review has identified a large level of heterogeneity in the findings related to gluteal muscle activity characteristics associated with PFPS. Although this may reflect varying methodological design, it may also be a function of the multifactorial nature of the condition, highlighting the importance of not considering hip muscle function in isolation when treating PFPS.

Methodological considerations and directions for future research

A number of methodological limitations were identified following application of the modified Downs and Black Quality Index, including the absence of outcome measurer blinding and reporting of validity/reliability of methodology; lack of, or inadequate consideration in relation to confounding factors such as control of gait velocity/cadence and inappropriate matching on participant characteristics such as age, height, weight and gender between cases and controls. These areas should be addressed in future research. In addition, many non-significant findings in this review may be the result of low participant numbers and the absence of a sample size calculation, a weakness that should be addressed in future research.

Figure 4 Gluteal electromyography activation levels during various functional tasks. (A) Gluteus medius and (B) Gluteus maximus. PFPS, patellofemoral pain syndrome. This figure is only reproduced in colour in the online version.
The SENIAM guidelines\(^{46}\) provide clear and valid guidance regarding the preparation and application of electrodes during the collection of gluteal EMG. However, the same clear guidance is lacking for data collection procedures, reduction and analysis. As a result, these methodological aspects varied across the included studies (see table 2), possibly explaining some of the conflicting findings. Unfortunately, without direct evaluation comparing outcomes due to varied approaches in the same cohorts, it is difficult to establish the exact nature or size of their influence on results. Future studies evaluating gluteal EMG in individuals with PFPS should consider addressing this. In particular, the influence of cadence, method of identifying muscle onset time and method of establishing EMG activity levels on results needs to be established.

The ability to distinguish between cause and effect in relation to identified differences is impaired by the absence of prospective research. Additional research is needed to determine if screening of gluteal muscle activity can successfully identify those most likely to develop PFPS. Findings from case-control studies were inconsistent for all variables evaluated. This may be a function of the large heterogeneity in methodological design, and in particular inconsistent inclusion/exclusion criteria for diagnosis. It is recommended that future case-control studies use inclusion/exclusion criteria checklist\(^{26}\) to guide participant recruitment which is based on high-quality randomised controlled trials evaluating conservative PFPS interventions.\(^{47\; 48}\)

**CONCLUSION**

Current research evaluating the association of gluteal muscle activity with PFPS is limited by an absence of prospective research, low sample sizes and heterogeneity in methodological design including procedures, data reduction and analysis and participant inclusion and exclusion criteria. Conflicting findings may be a function of these methodological differences and/or the multifactorial nature of PFPS. Moderate-to-strong evidence indicates that GMed muscle activity is delayed and of shorter duration during stair ascent and descent in individuals with PFPS. Additionally, limited evidence indicates that GMed muscle activity is delayed and of shorter duration during running, and GMax muscle activity is increased during stair descent. Further research evaluating the value of gluteal muscle activity screening in identifying individuals most likely to develop PFPS is needed. Additionally, evaluating the effectiveness of interventions such as biofeedback and gait retraining targeting changes of gluteal muscle activation patterns is needed.

**Contributors** All authors contributed significantly to the article formulation. SL and CJB had the original idea and led on the writing. PM and DM assisted this process. DM also did the quality assessment.

**Competing interests** None.

**Provenance and peer review** Not commissioned; externally peer reviewed.

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Gluteal muscle activity and patellofemoral pain syndrome: a systematic review

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doi: 10.1136/bjsports-2012-090953

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