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Physical impairments and activity limitations in people with femoroacetabular impingement: a systematic review

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ABSTRACT

Background Femoroacetabular impingement (FAI) is a morphological hip condition that can cause hip and/or groin pain in younger active adults. Understanding the nature of physical impairments and activity limitations associated with symptomatic FAI is important to evaluate outcomes and guide development of rehabilitation strategies. The purpose of this systematic review was to establish: (1) whether people with symptomatic FAI demonstrate physical impairments and/or activity limitations compared with people without FAI; and (2) whether treatment affects these parameters.

Methods Four databases (Pubmed, CINAHL, SportDISCUS and Cochrane Library) were searched until the 21 June 2013. Studies evaluated measures of physical impairment and/or activity limitations in people with symptomatic FAI and included either: (1) a comparison control group; or (2) a pretreatment and post-treatment comparison. Methodological quality was assessed using the Newcastle-Ottawa Scale.

Results 16 studies were included. The most commonly reported physical impairment was decreased range of motion (ROM) into directions of hip joint impingement. Other impairments included altered sagittal and frontal plane hip ROM during gait, altered sagittal plane hip ROM during stair climbing, and decreased hip adductor and flexor muscle strength. Effects of surgery on physical impairments are inconsistent but suggest improved hip ROM during gait, but not during stair climbing. Squatting depth improves following surgical intervention for symptomatic FAI.

Conclusions People with symptomatic FAI demonstrate physical impairments and activity limitations. Surgical intervention may restore some deficiencies, but not all. Further studies of physical impairment and activity limitation are needed to evaluate outcomes from surgical and conservative interventions and to inform rehabilitation programmes.

INTRODUCTION

Femoroacetabular impingement (FAI) is a morphological hip condition that can cause hip/groin pain and impaired performance in younger active adults.^{1–4} In a 5-year study of elite athletes who underwent hip arthroscopic surgery, 36% were treated for FAI.⁵ FAI results from morphological hip abnormalities that abut the proximal femur against the acetabular rim.^{3–6} Impingement is caused by abnormal morphology of the femoral head (referred to as cam impingement), excessive acetabular coverage of the femoral head (referred to as pincer impingement) or a combination of the two.^{3–4–6–8}

Typically, impingement occurs with combined movements of hip flexion, adduction and internal rotation,^{1–3} though a consistent definition of FAI is lacking in the literature. FAI is associated with sports such as hockey, football and soccer.^{2–5–9–10} FAI can cause symptoms and impair function, and is associated with chondropathy.¹¹ The repetitive bony abutment may lead to structural damage, including tearing at the chondrolabral junction, full thickness cartilage delamination and, eventually, hip osteoarthritis.^{3–4–12} In sporting populations, recurrent hip pain and increasingly limited range of motion (ROM) secondary to FAI can limit and ultimately compromise athletic performance.^{9–10–13}

Surgery is the most common treatment for FAI and there is evidence for favourable short-term results of improved function and reduced pain^{3–14–16}; a systematic review reported large within subject effect sizes for follow-ups up to 3 years,¹⁶ however, another showed outcomes were less favourable in patients with chondropathy.¹⁷ The long-term clinical results of surgery for FAI, and the role of non-surgical interventions such as rehabilitation and exercise programmes, have not been established.¹⁴ Non-operative treatments may have a role in managing FAI to alleviate symptoms, potentially resulting in postponement or avoidance of surgery.¹⁸ The development of rehabilitation strategies to conservatively manage FAI relies not only on patient reported outcomes, but also on an understanding of the associated physical impairments and activity limitations. A clear understanding of these is also necessary to evaluate the effectiveness of surgical intervention.

The physical impairments and activity limitations associated with symptomatic FAI have yet to be synthesised, and systematic reviews of the effects of treatment have largely focused on patient-reported outcomes.^{14–16–19} The aim of this study was to systematically appraise the literature to establish: (1) whether people with symptomatic FAI demonstrate physical impairments and/or objectively quantified activity limitations compared with people without FAI; and (2) the effect of treatment on these physical impairments and/or activity limitations.

METHODS

The systematic review protocol was developed according to guidelines outlined in the PRISMA Statement.²⁰

Search strategy

Electronic searches until the 21 June 2013 were performed by one reviewer in MEDLINE



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(Pubmed), CINAHL and SportDISCUS (EBSCO) and Cochrane Library Databases. Key search terms and synonyms were combined using database-specific truncation terms into three main filters. Variations of the keywords for each filter are summarised as: (1) Population: 'femoracetabular impingement', 'pain', 'injuries', 'hip joint'; (2) Comparison: 'case-control studies', 'case comparison study', 'control', 'normal', 'asymptomatic', 'healthy'; (3) Outcome: 'biomechanics', 'kinetics', 'gait', 'neuromuscular', 'electromyography', 'EMG', 'musculoskeletal', 'range of motion', 'muscle strength', 'impairment', 'motor activity', 'activities of daily living', 'postural balance', 'stair', 'squat', 'joint stiffness', 'muscle weakness', 'pain' (complete search strategy in online supplementary file 1). Studies were limited to the English language and human participants. Supplementary searches of the reference lists of included studies and relevant journals were performed.

Eligibility

Eligibility was assessed by two independent reviewers (LED and PN), with disagreements resolved by consensus or a third reviewer (FD). After removal of duplicates, titles and abstracts were assessed and full texts were obtained for final eligibility screening. Studies were eligible if the population had symptomatic FAI, diagnosed by clinical as well as imaging features. Imaging could include X-ray, MRI or CT scan. All stages and types of FAI were eligible. Studies including people with FAI who had recently undergone a specific intervention (such as arthroscopy or an exercise programme) were eligible if physical impairments and/or activity limitations were objectively measured at preintervention and postintervention time points. Any study design was eligible. Studies were required to report a performance-based measure related to body structure and/or function (ie, impairments such as hip joint biomechanics, neuromuscular activity, strength, ROM and/or objectively quantified activity limitations such as difficulty with squatting, kicking, running, jumping) in accordance with the International Classification of Functioning Disability and Health framework.²¹ Studies including an asymptomatic FAI group, in addition to a symptomatic FAI group, were included if other eligibility requirements were met. Studies were excluded if only those with asymptomatic FAI were evaluated; the full text was not available; the study was not reported in English; or the study reported only patient-reported outcomes.

Quality evaluation

Methodological quality was assessed by two independent reviewers (LED and FD), with disagreements resolved by consensus. Quality was assessed using the Newcastle-Ottawa Scale (NOS, data online supplementary file 2), a tool designed for cohort and case-control studies, which is reliable and valid for assessing quality of non-randomised studies.^{22–23} Criteria evaluate potential bias based on selection of participants, comparability of study groups and attainment of exposure (case-control studies) or outcome of interest (cohort studies). The NOS uses a star rating system (semi-quantitative) where one star is awarded for each criterion if appropriate methods are reported, with the exception of comparability of cohorts where two stars are awarded if a study controls for more than one comparison factor. The scale ranges from zero to nine stars.²⁴ The case-control scale and cohort study scale were used to evaluate included cross-sectional and intervention studies, respectively. Levels of evidence were assessed using the Centre for Evidence Based Medicine (CEBM) scale.²⁵

Data extraction

A single reviewer (LED) extracted all data, including study design, recruitment source, sample size, population characteristics, intervention details, comparison group characteristics and any confounding factor controlled for. For mixed design studies (eg, studies with pre intervention and postintervention measures of an FAI group as well as an asymptomatic cohort), the preintervention data were extracted for cross-sectional comparison with asymptomatic controls. Quantitative data relating to physical impairment and/or activity limitation were extracted. For studies evaluating ROM and joint forces (which typically reported a range of variables), only the main and/or significant findings were extracted.

Statistical analysis

Percentage agreement and the κ statistic (95% CI) were used to provide absolute agreement and an estimate of level of agreement between raters when scoring the methodological quality of the included studies. Within-in subject effect sizes and 95% CIs were calculated for intervention studies using Hedges' g (average). This method is recommended for correlated groups used in meta-analysis and is useful when the number of paired comparisons is small.^{26–27}

RESULTS

The search identified 1724 articles (figure 1), of which 16 studies were eligible. A description of the 14 cross-sectional comparisons is provided in table 1. Table 2 provides descriptive data for the five preintervention and postintervention studies (three of which included a control group for comparison and thus are also included in table 1). Mean age of participants ranged from 24.7 to 35.5 years. The proportion of men ranged from 36% to 100%, with 14 studies having a predominantly male sample.^{18–28–40}

Cross-sectional comparisons of people with and without symptomatic FAI

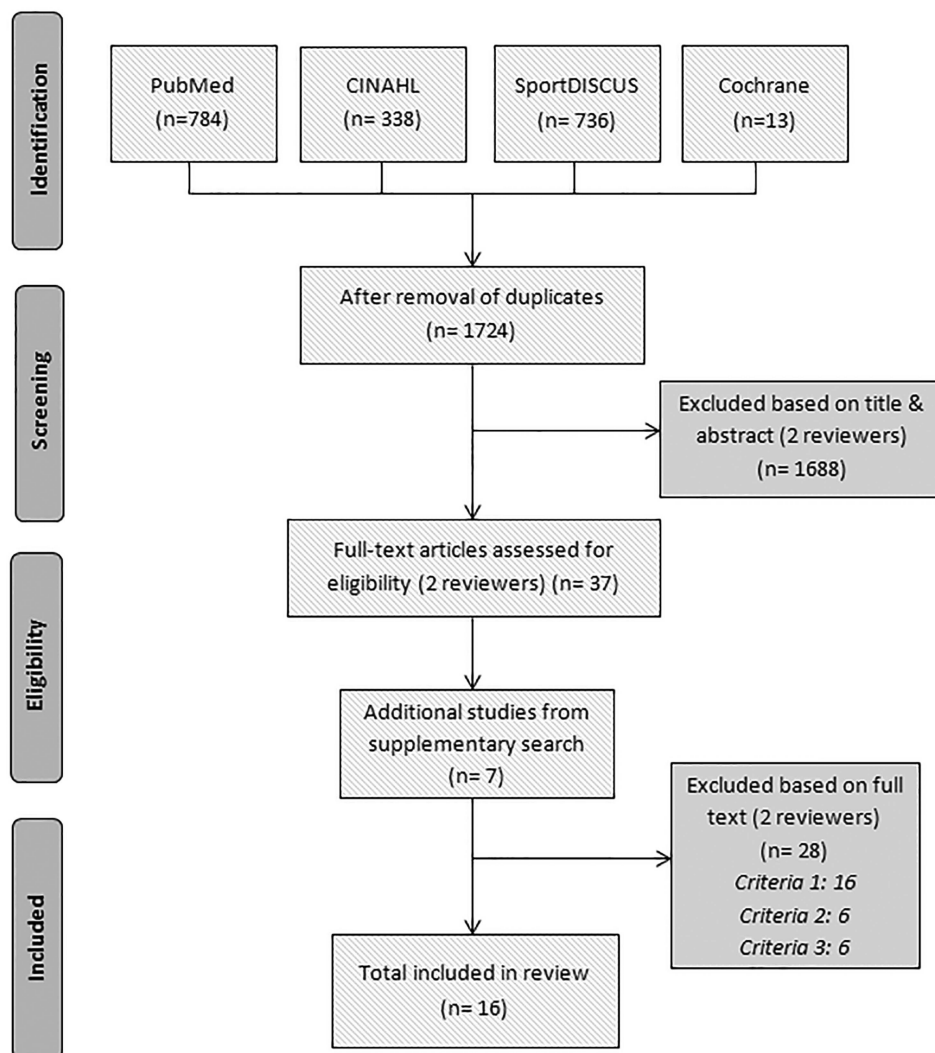
Study quality was moderate to high, with scores ranging from 5 to 8 stars (figure 2). Studies with control groups not imaged to ensure no evidence of FAI did not earn a star for selection (definition of controls) and exposure (control group). All included cross-sectional studies were rated as level 4 evidence (figure 2).

The inter-rater agreement for methodological quality of studies was excellent (absolute agreement=95%, κ =0.92, 95% CI 0.87 to 0.97). All disagreements were easily resolved using a consensus method between the two raters.

Table 3 summarises the main cross-sectional findings. Eleven of the 14 studies included a comparison group of age-matched and gender-matched controls.^{28–35–40–42} Two used historic controls comprised of the contralateral hips of older total hip replacement patients,^{36–37} and one used the contralateral limbs of participants with symptomatic FAI.¹⁸ Five studies did not use imaging to ensure no evidence of FAI in the asymptomatic control group.^{34–35–40–42}

Twelve of 14 studies evaluated hip ROM; three using CT^{32–36–37} and six using 3-dimensional motion analysis.^{28–30–33–35} Other physical impairments were hip muscle strength,⁴¹ fatigue⁴² and neuromuscular activity.^{41–42} The only objectively quantified activity limitation was squatting.³⁰ A meta-analysis was not performed because of the small number of studies and high heterogeneity in outcome measures.

Figure 1 Flow chart of study selection process.



ROM—compared to controls with no FAI hip morphology

Two studies simulated hip ROM using CT and found that people with symptomatic FAI had less ROM towards positions of impingement (flexion/internal rotation in 90° flexion) and abduction^{36 37} than an older control group. Two further studies examined passive hip ROM in symptomatic FAI compared with asymptomatic FAI and a control group without FAI.^{31 32} The first used electromagnetic tracking to measure hip ROM and found the symptomatic FAI group exhibited significantly less flexion, external rotation and internal rotation in 90° hip flexion compared with both control groups.³¹ Similarly, the second study, using CT, reported that the symptomatic FAI group exhibited significantly decreased range of internal rotation in hip flexion and significantly decreased range of internal rotation during an impingement test than the control groups. The asymptomatic FAI group also had significantly lower values for these tests than the control group.³² Similarly, a study using three-dimensional motion analysis during a series of maximum dynamic hip ROM trials, reported the symptomatic FAI group used significantly less total hip ROM in the sagittal plane and significantly less hip ROM into peak hip abduction, and internal rotation in 90° hip flexion and external rotation in 90° hip flexion, compared with a control group.²⁸ Hip ROM values were similarly less in a different study when the symptomatic FAI limb was compared with the contralateral asymptomatic

limb, but whether differences were statistically significant was not reported.¹⁸

Two studies compared dynamic hip ROM during gait in people with symptomatic FAI to controls using three-dimensional motion analysis.^{29 33} Both reported significantly decreased frontal plane hip ROM (adduction-abduction) in symptomatic FAI,^{29 33} and one reported significantly decreased sagittal hip ROM (flexion-extension).³³

One study evaluated dynamic ROM during squatting using three-dimensional motion analysis and found that the symptomatic FAI group used significantly less sagittal plane pelvic ROM than controls.³⁰

ROM—compared to controls with uncertain hip morphology

A measurement study comparing a goniometer and an electromagnetic tracking system reported significantly less passive hip abduction in a symptomatic FAI group compared with a control group.⁴⁰

Two studies compared dynamic hip ROM during gait in people with symptomatic FAI to controls using three-dimensional motion analysis.^{34 35} Both reported significant decreased frontal plane hip ROM (adduction-abduction) in symptomatic FAI.^{34 35} One reported significantly decreased sagittal hip ROM (flexion-extension),³⁵ while similarly, the second reported significantly decreased peak hip extension in

Table 1 Descriptive information: cross-sectional studies of physical impairments and/or activity limitations in people with and without FAI

Cross-sectional studies				
Author, Year	FAI group	Control group		Comparability between groups*
		Asymptomatic with no FAI	Asymptomatic FAI	
Tannast, 2007	n=31 (all types FAI) 27 (87%) males Mean±SD age=31.1±9.4 years Recruited from outpatient clinic Diagnosis based on: ► Radiographic criteria (not specified) ► Clinical criteria (not specified)	n=36 23 (64%) males Mean±SD age=53.7±11.3 years Recruited from contralateral hip THR patients Exclusion based on: ► Radiographic OA > grade 1 ► Centre edge angle <25° ► CT measurements (α angle <50°; femoral retroversion) ► Symptomatic (history of hip pain)		None
Kubiak-Langer, 2007	n=28 (all types FAI) 24 (86%) males Mean±SD age=35.4±10.4 years Recruited from outpatient clinic Diagnosis based on: ► Radiographic criteria (not specified) ► Physical findings (positive impingement test) ► Symptoms (not specified)	n=33 20 (61%) males Mean±SD age=53±11.1 years Recruited from contralateral hip THR patients Exclusion based on: ► Radiographic OA > grade 1 ► Centre edge angle <25° ► CT measurements (α angle <50°; femoral retroversion) ► Symptomatic (history of hip pain, or hip surgery)		None
Kennedy, 2009a	n=17 (Cam FAI) 10 (59%) males Mean±SD age=35.5±10.6 years Recruited from NR Diagnosis based on: ► Radiographs (A/P and Dunn view) (mean α angle >50.5°) ► Positive impingement test	n=14 8 (57%) males Mean±SD age=34.2±9.5 years Recruited from NR Inclusion based on: ► Radiographs (A/P and Dunn view) (spherical femoral heads) ► Asymptomatic (no history of lower limb injury)		Age Gender BMI
Kennedy, 2009b	n=17 (Cam FAI) 10 (59%) males Mean±SD age=35.5±10.6 years Recruited from NR Diagnosis based on: ► Radiographs (A/P and Dunn view) (mean α angle >50.5°) ► Positive impingement test	n=14 8 (57%) males Mean±SD age=34.2±9.5 years Recruited from NR Inclusion based on: ► Radiographs (A/P and Dunn view) (spherical femoral heads) ► Asymptomatic (no history of lower limb injury, no hip pain)		Age Gender BMI
Lamontagne, 2009	n=15 (Cam FAI) 9 (60%) males Mean±SD age=35.3±9.1 years Recruited from NR Diagnosis based on: ► Radiographs (A/P and Dunn view) (mean α angle >50.5°) ► Positive impingement test	n=11 6 (55%) males Mean±SD age=34.5±10.1 years Recruited from NR Inclusion based on: ► Radiographs (A/P and Dunn view) (spherical femoral heads) ► Asymptomatic (no history of lower limb injury)		Age Gender BMI
Nussbaumer, 2010	n=15 (all types FAI) 8 (53%) males Mean±SD age=35±11 years	n=15 8 (53%) males Mean±SD age=34±10 years		Age Gender

Continued

Table 1 Continued

Cross-sectional studies

Author, Year	FAI group	Control group		Comparability between groups*	Impairment and/or activity limitation investigated
		Asymptomatic with no FAI	Asymptomatic FAI		
Casartelli, 2011	Recruited from patients with FAI treated at institution Diagnosis based on: ► Radiographs (criteria not specified) ► Clinical tests (not specified) n=22 (all types FAI) 8 (36%) males Mean±SD age=32±9 years Recruited from patients with FAI scheduled for surgery Diagnosis based on: ► Radiographs and MRI (criteria not specified) ► Clinical tests (not specified)	Recruited from employees of institution Inclusion based on: ► Asymptomatic (no history of lower limb injury) n=22 8 (36%) males Mean±SD age=32±9 years Recruited from NR Inclusion based on: ► Asymptomatic (no history of lower limb injury, no hip pain)		Age Gender BMI Activity level	Strength Neuromuscular activity
Emara, 2011	n=37 (all types FAI) 27 (73%) males Mean±SD age=33±5 years Recruited from hospital Diagnosis based on: ► Radiographs (A/P and frog lateral) (α angle <60°) ► Unilateral hip pain secondary to FAI ► Positive impingement test	n=37 (contralateral limb of FAI group) 27 (73%) males Mean±SD age=33±5 years Recruited from hospital Inclusion based on: ► Radiographs (A/P and frog lateral) (criteria not specified) ► Asymptomatic (no history of lower limb injury) ► Negative impingement test		All (same participants)	ROM
Casartelli, 2012	n=15 (all types FAI) 6 (40%) males Mean±SD age=31±10 years Recruited from patients with FAI scheduled for surgery Diagnosis based on: ► Medical imaging diagnosis (criteria not specified) ► Clinical tests (not specified)	n=15 6 (40%) males Mean±SD age=31±9 years Recruited from NR Inclusion based on: ► Asymptomatic (no history of lower limb injury, no hip pain)		Age Gender BMI Activity level	Fatigue Neuromuscular activity
Audenaert, 2012a	n=18 (Cam FAI) 18 (100%) males Mean±SD age=24.7±NR Recruited from patients with FAI scheduled for surgery	n=12 12 (100%) males Mean±SD age=23.1±NR Recruited from cohort of healthy volunteers	n=12 12 (100%) males Mean±SD age=22.6±NR Recruited from cohort of healthy volunteers	Age Gender Height Weight	ROM
Audenaert, 2012b	Diagnosis based on: ► Radiographs and magnetic resonance arthrogram imaging ► α angle >55°; centre edge angle 28°–40° ► Typical signs of FAI confirmed during surgery (not specified) n=10 (Cam FAI) 10 (100%) males Mean±SD age=NR Recruited from patients with FAI scheduled for surgery	Inclusion based on: ► Radiographs (A/P and Dunn view) ► α angle <50° ► Asymptomatic (no history of groin pain) ► Negative impingement test n=10 10 (100%) males Mean±SD age=NR Recruited from cohort of healthy volunteers	Inclusion based on: ► Radiographs (A/P and Dunn view) ► α angle >55° ► Asymptomatic (no history of groin pain) ► Negative impingement test n=10 10 (100%) males Mean±SD age=NR Recruited from cohort of healthy volunteers	Age Gender	ROM

Continued

Table 1 Continued

Cross-sectional studies

Author, Year	FAI group	Control group		Comparability between groups*	Impairment and/or activity limitation investigated
		Asymptomatic with no FAI	Asymptomatic FAI		
Brisson, 2013	Diagnosis based on: <ul style="list-style-type: none"> ▶ Radiographs ▶ α angle $>55^\circ$; centre edge angle 28°–40° ▶ Typical signs of FAI confirmed with a CT scan n=10 (Cam FAI) 7 (70%) males Mean \pm SD age=29.9 \pm 7.2 years Recruited from NR Diagnosis based on: <ul style="list-style-type: none"> ▶ Radiographs (A/P and Dunn view) (mean α angle $>50.5^\circ$) ▶ Positive impingement test 	Inclusion based on: <ul style="list-style-type: none"> ▶ Radiographs (A/P and Dunn view) ▶ α angle $<50^\circ$ ▶ Asymptomatic (no history of groin pain) ▶ Negative impingement test n=13 8 (62%) males Mean \pm SD age=34.2 \pm 9.9 years Recruited from NR Inclusion based on: <ul style="list-style-type: none"> ▶ Radiographs (A/P and Dunn view) (spherical femoral heads) ▶ Asymptomatic (no history of lower limb injury, no hip pain) 	Inclusion based on: <ul style="list-style-type: none"> ▶ Radiographs (A/P and Dunn view) ▶ α angle $>55^\circ$ ▶ Asymptomatic (no history of groin pain) ▶ Negative impingement test 	Age Gender BMI	ROM
Hunt, 2013	n=30 (all types FAI) 25 (83%) males Mean \pm SD age=35.5 \pm 10.6 years Recruited from orthopaedic surgery Diagnosis based on: <ul style="list-style-type: none"> ▶ Radiographs (A/P) (Pincer FAI: positive cross-over) ▶ MR arthrogram imaging (cam FAI: α angle) ▶ Positive impingement signs ▶ Presence of anterior groin pain 	n=30 20 (67%) males Mean \pm SD age=34.2 \pm 9.5 years Recruited from university community Inclusion based on: <ul style="list-style-type: none"> ▶ Asymptomatic (no history of lower limb injury, no hip pain) ▶ Negative impingement test 		Age Gender	ROM
Rylander, 2013	n=17 (all types FAI) 12 (71%) males Mean \pm SD age=35.4 \pm 8.9 years Recruited from patients with FAI scheduled for surgery Diagnosis based on: <ul style="list-style-type: none"> ▶ Radiographs and MRI ▶ Cam: mean α angle $>54^\circ$; Pincer: centre edge angle $>35^\circ$ ▶ History and clinical examination (criteria not specified) 	n=17 12 (71%) males Mean \pm SD age=34.9 \pm 9.7 years Recruited from community Inclusion based on: <ul style="list-style-type: none"> ▶ Asymptomatic (no history of lower limb injury, no hip pain) 		Age Gender BMI	ROM

*Features named as those that the groups were comparable on.

A/P, anteroposterior; BMI, body mass index; FAI, femoroacetabular impingement; NR, not reported; OA, osteoarthritis; ROM, range of motion; THP, total hip replacement.

Table 2 Descriptive information: Intervention studies of physical impairments and/or activity limitations in people with and without FAI

Intervention studies					
Author, Year	Study sample	Post-treatment follow-up	Drop outs	Intervention	Impairment and/or activity limitation investigated
Lamontagne, 2011	n=10 (Cam FAI) 7 (70%) males Mean±SD age=29.9±7.2 years Recruited from patients with FAI scheduled for surgery Diagnosis based on: ► Radiographs (A/P and Dunn view) (mean α angle >50°) ► Positive impingement test	8–32 months postarthroscopy	none	Arthroscopy	Squatting ability
Emara, 2011	n=37 (all types FAI) 27 (73%) males Mean±SD age=33±5 years Recruited from hospital Diagnosis based on: ► Radiographs (A/P and frog lateral) (α angle <60°) ► Unilateral hip pain secondary to FAI ► Positive impingement test	25–28 months from baseline	4 drop outs—underwent surgical treatment after conservative treatment failed	Conservative treatment programme over 24 months including avoidance, physiotherapy and modifications of activities of daily living	ROM
Rylander, 2012	n=11 (all types FAI) 8 (73%) males Mean±SD age=33.1±7.8 years Recruited from patients with FAI scheduled for surgery Diagnosis based on: ► Radiographs and MRI ► Positive impingement test and labral stress test ► History and clinical examination	12 month postarthroscopy	none	Arthroscopy	ROM
Brisson, 2013	n=10 (Cam FAI) 7 (70%) males Mean±SD age=29.9±7.2 years Recruited from NR Diagnosis based on: ► Radiographs (A/P and Dunn view) (mean α angle >50.5°) ► Positive impingement test	10–32 months postarthroscopy	None	Arthroscopy	ROM
Rylander, 2013	n=17 (all types FAI) 12 (71%) males Mean±SD age=35.4±8.9 years Recruited from patients with FAI scheduled for surgery Diagnosis based on: ► Radiographs and MRI ► Cam: mean α angle >54°; Pincer: centre edge	12 month postarthroscopy	None	Arthroscopy	ROM

Continued

Figure 2 Quality evaluation of studies using the Newcastle-Ottawa scale.

A

Author, Year	Selection	Comparability	Exposure	Total Stars	Level of Evidence*
Tannast, 2007	★★★★	☆☆	★★★	6	4
Kubiak-Langer, 2007	★★★★	☆☆	★★★	6	4
Kennedy, 2009a	★★☆☆	★★	★★★	7	4
Kennedy, 2009b	★★☆☆	★★	★★★	7	4
Lamontagne, 2009	★★☆☆	★★	★★★	8	4
Nussbaumer, 2010	★★☆☆	★★	★★☆	6	4
Casartelli, 2011	★★☆☆	★★	★★☆	5	4
Errara, 2011 ^a	★★★★	★★	★★★	8	4
Casartelli, 2012	★★☆☆	★★	★★☆	5	4
Audenaert, 2012a	★★☆☆	★★	★★★	7	4
Audenaert, 2012b	★★☆☆	★★	★★★	7	4
Brisson, 2013 ^a	★★☆☆	★★	★★★	7	4
Hunt, 2013	★★☆☆	★★	★★☆	6	4
Rylander, 2013 ^a	★★☆☆	★★	★★☆	6	4

B

Author, Year	Selection	Comparability	Outcome	Total Stars	Level of Evidence*
Lamontagne, 2011	★★★★	★★	★★☆	7	3b
Errara, 2011 ^a	★★★★	★★	★★★	8	3b
Rylander, 2012	★★★★	★★	★★★	8	3b
Brisson, 2013 ^a	★★★★	★★	★★☆	7	3b
Rylander, 2013 ^a	★★★★	★★	★★★	8	3b

*Centre for Evidence Based Medicine (CEBM) rating scale
^a Studies with a mixed design that were rated separately with the version of the NOS

symptomatic FAI.³⁴ Both studies demonstrated significantly reduced peak hip internal rotation ROM in symptomatic FAI.^{34 35}

One study compared dynamic ROM in people with symptomatic FAI to a control group during stair climbing using three-dimensional motion analysis.³⁵ Significantly reduced sagittal plane hip ROM, plus decreased maximum hip extension and internal rotation ROM were observed with FAI.

Joint moments

Three studies evaluated net hip joint moments during gait.^{29 33 34} Only one reported decreased peak hip flexion and extension moments in people with symptomatic FAI,³⁴ but used a control group not imaged to ensure no evidence of FAI. The other two studies found no differences when controls were imaged to ensure no FAI.^{29 33}

Muscle strength and fatigue—compared with controls with uncertain hip morphology

One study evaluated muscle strength using hand-held isometric and isokinetic dynamometry.⁴¹ Decreased isometric maximum voluntary torque normalised to body mass of hip adductor, abductor and flexor and external rotator muscles was observed with symptomatic FAI compared with a control group.⁴¹ Hip internal rotators and extensors strength was not significantly different between groups.⁴¹ In a separate study, the same authors evaluated submaximal isometric fatigue (torque fluctuations and electromyography (EMG) magnitude changes) and maximal isokinetic fatigue (rate of torque decline) of hip flexors. While hip flexor strength relative to body mass was 21% lower in symptomatic FAI compared with control participants, there were no significant differences between groups in any of the fatigue indices.⁴²

Table 3 Major findings: cross-sectional studies of physical impairments and/or activity limitations in people with and without FAI

Cross-sectional studies						
Author, Year	Impairment and/or activity limitation investigated	Measurement method	Selected variables measured	FAI group (mean±SD)	Control group (mean±SD)	
					Asymptomatic with no FAI	Asymptomatic FAI
Tannast, 2007	ROM	CT modelling	Hip flexion (°) Hip internal rotation in 90° flexion (°) Hip abduction (°)	105±16.1* 11.7±7.1* 51.9±12.1*	121±11.8 35±12 63±11.1	
Kubiak-Langer, 2007	ROM	CT modelling	Hip flexion (°) Hip internal rotation in 90° flexion (°) Hip abduction (°)	105.2±12.2* 11.1±6.9* 51.7±12.2*	122±16.3 35.2±6.9 63.3±10.9	
Kennedy, 2009a	ROM	Three-dimensional kinematics of dynamic ROM	Sagittal hip ROM (°) Peak hip abduction angle (°) Peak hip internal rotation in 90° flexion (°) Peak hip external rotation in 90° flexion (°) Total transverse hip ROM in 90° flexion (°)	130.9±13.5† 38.3±9.1* 7.9±3.4* 20.3±4.5* 28.2±6.7*	140.5±9.0 47.5±5.7 12.4±4.8 26.0±7.0 38.5±9.7	
Kennedy, 2009b	ROM	Three-dimensional motion analysis during gait	Peak hip abduction angle (°) Frontal hip ROM (°) Pelvic roll (°)	NR* (decrease) NR* (decrease) NR* (decrease)	NR NR NR	
Lamontagne, 2009	Squatting ability	Three-dimensional motion analysis during squatting	Mean max squat depth (% leg length)	41.5±12.5†	32.3±6.8	
Nussbaumer, 2010	ROM	Goniometer	Sagittal pelvic ROM (°)	14.7±8.4*	24.2±6.8	
Casartelli, 2011	ROM	Electromagnetic tracking system	Hip abduction (°)	30.4±7.3*	39.3±7.4	
			Hip abduction (°)	28.5±6.7*	37.3±8.0	
			Hip adduction (Nm/kg)	1.57±0.82*	2.17±0.49	
			Hip abduction (Nm/kg)	1.81±0.43†	2.03±0.31	
	Strength	Isometric maximal voluntary contraction via dynamometer	Hip internal rotation (Nm/kg)	0.47±0.16	0.55±0.17	
			Hip external rotation (Nm/kg)	0.46±0.21†	0.56±0.15	
			Hip flexion (Nm/kg)	0.87±0.46*	1.17±0.37	
			Hip extension (Nm/kg)	1.64±1.00	1.66±0.86	
			RF activity level (µV)	186±131	294±184	
			TFL activity level (µV)	401±251†	582±323	
	Neuromuscular activity	Muscle activation of the RF and TFL during active hip flexion via surface electromyography				
Emara, 2011	ROM	Not reported	Hip flexion (°)	95.0±0.4	±103.0±2.6§	
			Hip extension (°)	4.0±1.6	4.3±1.7§	
			Hip abduction (°)	37.0±0.4	43.0±3.3§	
			Hip adduction (°)	17.0±7.0	19.0±8.0§	
			Hip external rotation in flexion (°)	28.5±0.5	33.9±4.0§	
			Hip external rotation in extension (°)	25.3±0.3	29.7±3.2§	
			Hip internal rotation in flexion (°)	9.4±0.3	14.6±2.9§	
			Hip internal rotation in extension (°)	15.8±0.4	19.0±2.6§	
	Fatigue	Submaximal isometric fatigue via dynamometer	Max isometric torque hip flexors (Nm/kg)	0.96±0.46†	1.21±0.38	
			Max isokinetic torque hip flexors (Nm/kg)	0.97±0.38†	1.16±0.36	
Casartelli, 2012	Neuromuscular activity	Muscle activation of the RF and TFL during submaximal isometric fatigue via surface electromyography	RF and TFL activity level (µV)	Not significant	Not significant	

Continued

Table 3 Continued

Cross-sectional studies

Author, Year	Impairment and/or activity limitation investigated	Measurement method	Selected variables measured	FAI group (mean±SD)	Control group (mean±SD)	
					Asymptomatic with no FAI	Asymptomatic FAI
Audenaert, 2012a	ROM	Electromagnetic tracking system to measure kinematics	Neutral hip internal rotation (°)	28.5±NR†	34.1±NR	32.5±NR
			Neutral hip external rotation (°)	28.9±NR*¶	38.4±NR	38.0±NR
			Hip flexion (°)	113.7±NR*	125.0±NR	120.8±NR
			Hip internal rotation in 90° flexion (°)	16.7±NR*¶	28.0±NR	27.8±NR
Audenaert, 2012b	ROM	CT modelling	Range of hip internal rotation in high flex (°)	12.9±6.4*¶	27.8±7.6	20.9±9.1*
			Range of hip internal rotation on impingement test (°)	12.3±6.5*¶	27.9±7.4	21.1±8.8*
Brisson, 2013	ROM	3-Dimensional motion analysis during gait	Sagittal hip ROM (°)	47.4± 3.6†	51.5±2.7	
			Frontal hip ROM (°)	14.3±2.6†	17.8±2.9	
			Peak hip flexion (Nm/kg)	0.98±0.23	1.05±0.31	
			Peak hip external rotation (Nm/kg)	0.11±0.04	0.12±0.03	
Hunt, 2013	ROM	3-Dimensional motion analysis during gait	Peak hip extension (°)	7.4±6.7†	12.0±7.2	
			Peak hip adduction (°)	4.1±3.7†	5.7±2.9	
			Peak hip internal rotation (°)	3.1±4.2†	8.2±5.8	
			Peak hip flexion (Nm/kg)	0.48±0.15†	0.56±0.16	
Rylander, 2013	ROM	3-Dimensional motion analysis during gait	Peak hip external rotation (Nm/kg)	0.12±0.04†	0.15±0.03	
			Sagittal hip ROM (°)	40.0±5.7†	44.1±4.8	
			Hip rotation ROM (°)	11.3±3.5†	14.0±4.4	
			Max hip internal rotation (°)	6.5±4.6†	11.0±5.4	
			Frontal hip ROM (°)	10.0±2.2*	13.4±4.1	
		3-Dimensional motion analysis during stair climbing	Max hip abduction (°)	(-)1.1±5.0†	2.2±3.3	
			Sagittal hip ROM (°)	54.8±3.7*	60.0±4.5	
			Max hip extension (°)	(-) 11.4±6.9†	(-) 6.6±4.0	
			Max hip internal rotation (°)	7.1±6.4†	12.1±4.2	
			Pelvic rotation ROM (°)	13.8±6.3*	8.3±4.9	
			Max pelvic tilt (°)	20.8±6.2*	14.3±3.9	

*Significant difference p<0.01 compared to asymptomatic with no FAI.

†Significant difference p<0.05 compared to asymptomatic with no FAI.

‡=Contralateral limb of FAI group.

§=Not tested for significance.

¶Significant difference p<0.01 compared to asymptomatic with FAI.

FAI, femoroacetabular impingement; NR, not reported; TFL, tensor fasciae latae; RF, rectus femoris; ROM, range of motion.

Neuromuscular activity—compared to controls with uncertain hip morphology

Two studies examined muscle activation levels of rectus femoris and tensor fasciae latae.^{41 42} One reported significantly decreased absolute tensor fasciae latae activity level in symptomatic FAI compared with control participants during maximal active hip flexion contraction, but no difference for rectus femoris.⁴¹ The second study found no differences for either muscle group when relative EMG activity was measured during submaximal isometric fatigue testing.⁴²

Activity limitation—squatting ability—compared to controls with no FAI hip morphology

One study reported that people with symptomatic FAI were unable to squat as deeply as a control group.³⁰

Interventional studies evaluating effects of treatment on physical impairments and/or activity limitations

Main findings of intervention studies are summarised in table 4. All five were observational within-subjects study designs. Four studies evaluated hip arthroscopy and one evaluated a conservative treatment programme. Follow-up varied between 8 and 32 months. Methodological quality was moderate to high, with scores ranging between 7 and 8 stars (figure 2). All included intervention studies were a level 3b of evidence (figure 2). The only impairment evaluated was ROM; the only measured activity limitation was squatting ability. A meta-analysis was not performed because of small study numbers and high heterogeneity in outcome measures.

Range of motion

One study examined hip ROM 25–28 months after a four-stage conservative treatment programme comprised of avoidance of excessive physical activity and anti-inflammatory drugs, physiotherapy and modifications of activities of daily living. No significant changes in hip ROM were reported following treatment. Furthermore, hip ROM remained less than the contralateral hip without FAI at follow-up.¹⁸

Three studies examined the effects of hip arthroscopy on hip ROM during gait using three-dimensional motion analysis.^{33 35 38} Twelve months following surgery one study reported a significant increase in sagittal hip ROM during stance.³⁸ Another study reported similar findings, plus postoperative increase in hip rotation ROM and maximal hip internal rotation.³⁵ The third study reported no significant change in sagittal hip ROM at 10–32 months after surgery,³³ and reported ROM in symptomatic FAI remained significantly less than controls (table 3).

One study examined the effects of arthroscopy on hip ROM during stair climbing and found no differences at 12 months compared with preoperative values,³⁵ and further indicated ROM remained impaired compared with controls who were not imaged to ensure no evidence of FAI (table 3).³⁵

Arthroscopy had no significant effect on hip joint ROM during squatting at 8–32 months postsurgery measured using three-dimensional motion analysis compared with preoperative values.³⁹

Activity limitation—squatting ability

Squatting ability (measured as increased mean maximum squat depth as a percentage of leg length) was significantly improved at 8–32 months postarthroscopy compared with preoperative ability.³⁹

DISCUSSION

In this systematic review, we included 16 studies (14 cross-sectional comparisons—level 4 evidence, five intervention

studies—level 3b evidence) to establish whether people with symptomatic FAI demonstrate physical impairments and/or activity limitations compared with people without FAI and whether treatment improved these parameters. We found that people with symptomatic FAI have some physical impairments (mainly in the domain of reduced ROM) and limited evidence of activity limitations when compared with individuals without FAI. We found that arthroscopy does not necessarily improve hip ROM.

Most FAI research has focused on ROM impairments and suggest that individuals with symptomatic FAI have decreased hip ROM towards impingement (flexion/internal rotation in 90° flexion).^{28 31 32 36 37} Pain likely plays a role since people with symptomatic FAI demonstrate reduced ROM compared with people with asymptomatic FAI.³² However, people with asymptomatic FAI also demonstrate reduced ROM compared with people with no evidence of FAI, suggesting that pain is not solely responsible.³² Impaired ROM may be partially explained by the bony impingement/abutment, as emphasised by the reduced hip ROM reported using CT modelling,³⁷ and/or damage to the surrounding soft tissue.

Some evidence suggests that hip ROM during walking is reduced in people with symptomatic FAI, despite the fact that motion in this task does not reach the end of available range.⁴³ Although four studies reported reduced ROM in the frontal plane,^{29 33–35} only two of them imaged control participants to ensure no evidence of underlying asymptomatic FAI.^{29 33} Inconsistencies were evident in sagittal plane findings regardless of control group imaging. Furthermore, the clinical significance of these small, albeit significant, reported differences in hip ROM are not known, but are possibly of little clinical relevance.

Most studies did not demonstrate differences in peak hip joint moments compared with controls.^{29 33} While one study did report differences,³⁴ these must be interpreted with caution since no imaging was used to ensure that controls did not have underlying FAI morphology.

One study each evaluated strength and fatigue in FAI^{41 42} and suggested that strength deficits (75% of control strength), particularly of the hip flexor and adductor muscles, are present in people with symptomatic FAI compared with controls. However, controls were not imaged to ensure absence of FAI and this might account for similar between group findings in other planes; some literature places the likelihood of asymptomatic FAI in healthy young adults as high as 35%.⁴⁴ Further studies are required to investigate strength in people with symptomatic FAI across all movement directions and should include asymptomatic control groups that have been imaged to ensure absence of FAI. There is also limited evidence that people with symptomatic FAI exhibited decreased absolute tensor fasciae lata EMG activity during maximal active hip flexion contraction.⁴¹ However, most studies normalise absolute EMG to a reference such as a maximum voluntary contraction due to the influence of such things as subcutaneous fat on the absolute EMG signal.⁴⁵ Future studies should examine relative muscle activation levels between muscles during functional tasks compared with an appropriately imaged control group, given the important role that these muscles are likely to play in augmenting hip stability.⁴⁶

Only five intervention studies were included in this review: four described the outcomes of hip arthroscopy and one evaluated conservative management. Hip arthroscopy appears to improve hip ROM in the sagittal, but not frontal plane during gait.^{35 38} Reduced hip ROM during stair climbing remained following surgical intervention despite correction/reduction of the

Table 4 Major findings: intervention studies of physical impairments and/or activity limitations in people with and without FAI

Intervention studies									
Author, Year	Impairment and/or activity limitation investigated	Measurement method	Variables measured	Preintervention score (mean±SD)	Postintervention score (mean±SD)	Effect sizes (95% CI)	Intervention	Follow-up time	Intervention details
Lamontagne, 2011	Squatting ability	Three-dimensional motion analysis during squatting	Mean max squat depth (%)	36.9±12.0	33.2±10.3*	−0.32 (−1.20 to 0.56)	Arthroscopy	8–32 months postarthroscopy	Open or combined approach
	ROM		Sagittal hip angles (°)	109.8±9.7	106.2±8.8	−0.37 (−1.26 to 0.51)			Dislocation of the hip and debridement of the proximal femur
			Sum of all joint angles (hip, knee, ankle) (°)	263.2±33.1	277.9±35.5*	0.41 (−0.48 to 1.30)			
Emara, 2011	ROM	Not reported	Hip flexion (°)	95.0±0.4	†88.0±3.5	−3.55(−4.28 to (−2.82))	Conservative treatment programme	Every 6 months for 24 months	4 Stages of conservative treatment 1. Avoidance of excessive phys. activity and drugs (2–4 weeks) 2. Physiotherapy (2–3 weeks stretching 20–30 min daily) 3. Assessment of normal ROM after acute pain subsided 4. Modification of activities of daily living predisposing to FAI
			Hip extension (°)	4.0±1.6	†3.6±2.2	−0.21 (−0.67 to 0.25)			
			Hip abduction (°)	37.0±0.4	†36.0±1.4	−1.10 (−1.59 to (−0.61))			
			Hip adduction (°)	17.0±7.0	†17.0±9.0	0.00 (−0.46 to 0.46)			
			Hip external rotation in flexion (°)	28.5±0.5	†27.0±1.1	−1.86 (−2.40 to (−1.31))			Follow-ups every 2–3 weeks until symptoms resolved, then every 3 mo. for 12 months, and every 6 mo. thereafter
			Hip external rotation in extension (°)	25.3±0.3	†24.6±1.0	−1.07 (−1.55 to (−0.58))			
			Hip internal rotation in flexion (°)	9.4±0.3	†10.0±0.6	1.32 (0.82 to 1.82)			
			Hip internal rotation in extension (°)	15.8±0.4	†15.8±0.7	0.00 (−0.46 to 0.46)			Surgery indicated when conservative treatment failed
Rylander, 2012	ROM	Three-dimensional motion analysis during gait	Sagittal hip ROM (°)	27.6±5.0	30.7±4.3*	0.64 (−0.22 to 1.50)	Arthroscopy	12 month postarthroscopy	Arthroplastic reshaping surgery
Brisson, 2013	ROM	Three-dimensional motion analysis during gait	Max hip flexion (°)	19.9±NR	22.7±NR*	unable to be determined	Arthroscopy	10–32 months postarthroscopy	Open or combined approach Dislocation of the hip and debridement of the proximal femur Standard post-operative rehab programme recommended by Healthcare professional
			Sagittal hip ROM (°)	47.4± 3.6	46.8±4.6	−0.14 (−1.02 to 0.74)			
Rylander, 2013	ROM	Three-dimensional motion analysis during gait and stair climbing	Frontal hip ROM (°)	14.3±2.6	14.3±2.7	0.00 (−0.88 to 0.88)	Arthroscopy	12 month postarthroscopy	Arthroscopic acetabuloplasty and/or cheilectomy
			Sagittal hip ROM (°) (gait)	40.0±5.7	42.5±7.5*	0.37 (−0.44 to 1.17)			
			Max hip flexion (°) (gait)	35.5±5.3	37.5±5.9*	0.34 (−0.46 to 1.15)			
			Hip rotation ROM (°) (gait)	11.3±3.5	14.1±5.1†	0.63 (−0.19 to 1.45)			
			Max hip int. rotation (°) (gait)	6.5±4.6	10.3±5.6*	0.72 (−0.11 to 1.55)			

*Significant difference $p<0.05$.

†All postintervention data from 24 month follow-up;

‡Significant difference $p<0.01$.

FAI, femoroacetabular impingement; NR, not reported; ROM, range of motion.

morphological abnormalities,³⁵ suggesting that hip function in the sagittal or transverse planes may not resolve spontaneously during activities that require greater hip ROM. Thus, although surgical intervention may restore range of hip motion, training may be required for patients to use the additional range in function. A major conclusion from our review is the urgent need to evaluate the role of rehabilitation programmes targeted at post-operative restoration of functional impairments in people with symptomatic FAI.

One study evaluated the effects of conservative intervention on physical impairments associated with symptomatic FAI.¹⁸ Treatment comprised avoidance of excessive physical activity and anti-inflammatory drugs, physiotherapy and modifications of activities of daily living, and had no effect on hip ROM, which remained less than the contralateral limb without FAI. The study was limited by its short period of poorly-defined physiotherapy and no documentation of adherence. Further trials should investigate postoperative rehabilitation programmes and other options for conservative treatment.

Although there is limited literature evaluating activity limitations in people with symptomatic FAI, one study reported that people with symptomatic FAI were unable to squat as deeply as controls.³⁰ This raises important clinical implications given the likely relationship between this task and functional tasks (including sport activities) that involve a similar range of hip flexion with concurrent demands on balance and stability. Squatting limitation may be a function of hip morphology or pain/avoidance strategies. Hip arthroscopy can increase squat depth within 8–32 months postoperatively,³⁹ restoring it to a depth resembling that of healthy controls.³⁰ Further research of activities that involve positions of impingement paralleling those required in sport (eg, step-up tasks, kicking) would help inform the impact of symptomatic FAI and the effect of treatment (surgical and conservative).

This review was limited to 16 articles, including some with conflicting observations. The variability in how FAI was diagnosed across studies makes it difficult to draw firm conclusions about the impact of FAI on physical impairments, as these may vary according to diagnostic criteria. A meta-analysis was not possible because of the small number of available studies, and the high heterogeneity of methods and outcome measures. Many studies had relatively small sample sizes that were not necessarily supported by a priori sample size calculations. A further limitation is the inclusion of five studies^{34 35 40–42} that used a control group without imaging to ensure no evidence of FAI. It is possible that some control participants in these studies may have had underlying asymptomatic FAI, thus we must be prudent when interpreting these findings.

The strengths of this review include the reproducible search strategy, application of the PRISMA checklist for reporting of findings²⁰ and use of a quality assessment tool.^{22 23} This review is limited by an English-only search strategy, the paucity of studies in this area, the heterogeneity in study methods and outcomes, and the inconsistency in reported results. No studies included treatment evidence from randomised control trials.

A greater understanding of physical impairments and activity limitations is required before the effects of treatments can be fully evaluated. This should be a priority for future research, as should be ensuring patient reported outcome measures are used to better understand activity limitations. Future investigations should examine physical impairments and activity limitations in dynamic tasks that replicate sporting tasks—designed to target

positions of impingement. This will establish a baseline to compare the effectiveness of treatments (surgical and conservative), and assist in the design of conservative management programmes, including postoperative rehabilitation. Subsequently, future randomised control trials can examine treatment effects on FAI and, importantly, consider whether non-invasive treatments are a viable and/or preferred alternative to surgical intervention.

Although all studies scored moderately well on the NOS for quality assessment, the five studies with lowest scores (5–6/9) were those that did not image control group participants to ensure no evidence of FAI. Reported differences in all studies must be interpreted with caution since groups were selected from different communities, making it impossible to exclude factor(s) other than FAI. Future cross-sectional studies should ensure that control groups are matched on all key criteria such as age, gender, body mass index and activity level. Further, a consistent definition of FAI should be applied in future studies, and imaging of control participants is necessary to exclude asymptomatic FAI and ensure findings are purely a function of disease.

CONCLUSION

People with symptomatic FAI have physical impairments in hip ROM, particularly into directions of hip impingement. There is suggestion of impairments in hip frontal, sagittal and transverse plane ROM during gait, squatting and stair climbing, although evidence is only at a 3b–4 level. Little attention has been directed towards activity limitations in symptomatic FAI and limited research demonstrates reduced squatting ability. Arthroscopy may improve some, but not all, impairments in ROM. Physiotherapy may be needed to normalise ROM after hip arthroscopy. Further research is needed to: (1) determine whether hip ROM and neuromuscular function are compromised in dynamic tasks designed to target positions of impingement in FAI; and (2) to evaluate the effects of non-surgical treatments, such as joint mobilisation techniques, hip bracing and targeted exercise programmes incorporating ROM, strengthening and/or neuromuscular retraining, on physical impairments and activity limitations.

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1. Search strategy used for PubMed database

((((((((((femoracetabular impingement[MeSH Terms]) OR femoroacetabular impingement*[Text Word]) OR FAI[Text Word]) OR cam impingement*[Text Word]) OR pincer impingement*[Text Word]) OR hip impingement*[Text Word]) OR hip pain[Text Word]) OR hip joint pain[Text Word]) OR groin pain[Text Word]) OR hip injur*[Text Word])) OR ((athletic injuries[MeSH Terms]) AND hip joint[MeSH Terms])

AND

("case-control studies"[MeSH Terms] OR "case comparison study"[Text Word] OR "control"[Title/Abstract] OR "normal*" [Title/Abstract] OR "asymptomatic" [Title/Abstract] OR "healthy"[Title/Abstract])

AND

("biomechanics"[MeSH Terms] OR "joint kinetics"[Text Word] OR "gait kinetics"[Text Word] OR "Gait"[Mesh Terms] OR "neuromuscular"[Text Word] OR "Electromyography"[Mesh Terms] OR "EMG"[Text Word] OR "musculoskeletal" [Text Word] OR "range of motion, articular"[MeSH Terms] OR "range of movement"[Text Word] OR "ROM"[Text Word] OR "Muscle Strength"[Mesh Terms] OR "impairment*" [Title/abstract] OR "Motor Activity"[Mesh Terms]) OR "Activities of Daily Living"[Mesh Terms] OR "activity limitation*" [Title/abstract] OR "activity restriction*" [Title/abstract] OR "postural balance"[MeSH Terms] OR "stair*" [Text Word] OR "squat*" [Text Word] OR "joint stiffness"[Text Word] OR "muscle weakness"[Text Word] OR "pain"[Text Word])

2. Newcastle-Ottawa Quality Assessment Scale (Case Control Studies)

Note: A study can be awarded a maximum of one star for each numbered item within the Selection and Exposure categories. A maximum of two stars can be given for Comparability.

Selection

- 1) Is the case definition adequate?
 - a) yes, with independent validation
 - b) yes, eg record linkage or based on self reports
 - c) no description
- 2) Representativeness of the cases
 - a) consecutive or obviously representative series of cases
 - b) potential for selection biases or not stated
- 3) Selection of Controls
 - a) community controls
 - b) hospital controls
 - c) no description
- 4) Definition of Controls
 - a) no history of disease (endpoint)
 - b) no description of source

Comparability

- 1) Comparability of cases and controls on the basis of the design or analysis
 - a) study controls for _____ (Select the most important factor.)
 - b) study controls for any additional factor - (This criteria could be modified to indicate specific control for a second important factor.)

Exposure

- 1) Ascertainment of exposure
 - a) secure record (eg surgical records)
 - b) structured interview where blind to case/control status
 - c) interview not blinded to case/control status
 - d) written self report or medical record only
 - e) no description
- 2) Same method of ascertainment for cases and controls
 - a) yes
 - b) no
- 3) Non-Response rate
 - a) same rate for both groups
 - b) non respondents described
 - c) rate different and no designation

Newcastle-Ottawa Quality Assessment Scale (Cohort Studies)

Note: A study can be awarded a maximum of one star for each numbered item within the Selection and Outcome categories. A maximum of two stars can be given for Comparability

Selection

- 1) Representativeness of the exposed cohort
 - a) truly representative of the average _____ (describe) in the community
 - b) somewhat representative of the average _____ in the community
 - c) selected group of users eg nurses, volunteers d) no description of the derivation of the cohort
- 2) Selection of the non exposed cohort
 - a) drawn from the same community as the exposed cohort
 - b) drawn from a different source
 - c) no description of the derivation of the non exposed cohort
- 3) Ascertainment of exposure
 - a) secure record (eg surgical records)
 - b) structured interview
 - c) written self report
 - d) no description
- 4) Demonstration that outcome of interest was not present at start of study
 - a) yes
 - b) no

Comparability

- 1) Comparability of cohorts on the basis of the design or analysis
 - a) study controls for _____ (select the most important factor)
 - b) study controls for any additional factor (This criteria could be modified to indicate specific control for a second important factor.)

Outcome

- 1) Assessment of outcome
 - a) independent blind assessment
 - b) record linkage
 - c) self report
 - d) no description
- 2) Was follow-up long enough for outcomes to occur
 - a) yes (select an adequate follow up period for outcome of interest)
 - b) no
- 3) Adequacy of follow up of cohorts
 - a) complete follow up -all subjects accounted for
 - b) subjects lost to follow up unlikely to introduce bias -small number lost -> ____ % (select an adequate %) follow up, or description provided of those lost)
 - c) follow up rate < ____ % (select an adequate %) and no description of those lost
 - d) no statement