Exercise therapy for functional capacity in chronic diseases: an overview of meta-analyses of randomised controlled trials

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ABSTRACT

Objective To summarise all meta-analyses of randomised controlled trials that have evaluated the effects of exercise therapy on functional capacity in patients with chronic diseases.

Design Umbrella review of meta-analyses of randomised controlled trials.

Data sources We systematically searched the CENTRAL, CINAHL, DARE, Medline, OTSeeker, PEDro, SPORTDiscus, ProQuest Nursing & Allied Health Database, Web of Science, Scopus, OpenGrey and BMC Proceedings from database inception to 1 September 2016.

Eligibility criteria for selecting studies We included meta-analyses that compared the effects of exercise therapy with no treatment or usual care in adults with non-communicable chronic diseases and included outcomes related to functional capacity. We excluded meta-analyses with less than 100 patients. **Results** Eighty-five meta-analyses with 22 different chronic diseases were included. The exercise interventions resulted in statistically significant ($p < 0.05$) improvements for 126 of 146 (86%) functional capacity outcomes, compared with the control group. The standardised mean differences were small in 64 (44%), moderate in 54 (37%) and large in 28 (19%) of the 146 functional capacity outcomes. The results were similar for aerobic exercise, resistance training, and aerobic and resistance training combined. There were no significant differences in serious adverse effects between the intervention and control groups in any of the meta-analyses.

Conclusion Exercise therapy appears to be a safe way to improve functional capacity and reduce disability in individuals with chronic disease.

INTRODUCTION

Chronic diseases place high economic burden on society and reduce the quality of life of more than a billion individuals that live with a disability.^{1–4} Furthermore, almost half of the global population suffers from at least one chronic disease and may, therefore, be at risk for reduced functional capacity and disability.^{5,6} Disability is defined by WHO in the International Classification of Functioning, Disability and Health (ICF) as ‘an umbrella term for impairments, activity limitations and participation restrictions’.⁷ Traditionally, medical research on physical activity or exercise has focused on the effects of exercise on disease risk factors, prevention, possible disease progression and risk of death. However, physical functioning is important for the

activities of daily living of the patient and there is a need for more resources for overall patient care, particularly among the increasing number of older people.

During past decades, exercise training has been increasingly used in the treatment of chronic diseases and specialists have started to use the terms ‘exercise therapy’ and ‘therapeutic exercise’. Exercise therapy for chronic diseases can be broadly categorised as aerobic, resistance, combination of aerobic and resistance, or other condition-specific exercise-based training. Condition-specific exercise is used to target specific functional impairments; for instance, supervised walking training or balance training may be used to improve walking ability in patients with stroke. To measure functional capacity, either objective measures of performance, such as maximal oxygen uptake (VO_{2max}), or patient-reported measures, such as the SF-36 Physical Function Scale, may be used.⁸ Disability is evaluated using patient-reported measures and is determined as the degree of loss in functional capacity.⁴

Several meta-analyses have found exercise therapy improves functional capacity and reduces disability in individuals with chronic diseases,^{9,10} but due to the large number of randomised controlled trials (RCTs) and meta-analyses of RCTs, an umbrella summary of the results is necessary. Knowing in which conditions physical training should be added to therapy protocols is important for the patient and for the clinician’s decision making. Therefore, the purpose of this systematic review was to conduct an umbrella review¹¹ of all meta-analyses of RCTs that have evaluated the effects of exercise therapy on the physical performance, functional capacity, or disabilities of patients with chronic non-communicable diseases when compared with usual care. This systematic review design has been planned on the basis of previous reviews of the multidimensional effects of exercise therapy in different chronic diseases.^{12,13} This evidence-based knowledge is important for professionals in sports and exercise medicine and physiotherapy and for specialists in other fields to understand the important role of exercise therapy in healthcare.

METHODS

This updated review, based on the overview of reviews protocol by the Cochrane Collaboration,¹⁴ focuses solely on the physical performance and functional capacity outcomes, which are theoretically the most direct and quickly achieved benefits of exercise therapy.

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Search strategy and selection criteria

A systematic search was conducted using the following bibliographic databases: CENTRAL (via OvidSP), CINAHL (via EBSCO), DARE (via OvidSP), Medline (via PubMed), OTSeeker, PEDro, SPORTDiscus (via EBSCO), ProQuest Nursing & Allied Health Database, Web of Science, Scopus, OpenGrey and BMC Proceedings from their inception to 1 September 2016. To retrieve additional articles, Google Scholar was used to search for relevant clinical guidelines and systematic reviews for more references, the reference lists of the included meta-analyses were screened manually and the personal archives of the authors were searched. Article language and publication status were not restricted. The search phrase consisted of MeSH terms and keywords related to exercise, meta-analysis and chronic disease (see online supplementary table 1).

Eligible articles were required to (1) be meta-analyses of RCTs, (2) have outcome measures related to physical performance, functional capacity or disability, (3) compare exercise therapy with no treatment or usual care, (4) have mostly adult participants, as defined by the Cochrane Collaboration¹⁴ and (5) have patients with any non-communicable chronic disease as defined by WHO.¹⁵ Meta-analyses were excluded if (1) they did not report the number of studies or participants, (2) they assessed postsurgical recovery or site-specific musculoskeletal conditions such as patellofemoral pain syndrome (although exercise therapy may be a standard treatment), (3) the exercise intervention was of very low intensity, for example, yoga, or (4) the meta-analysis had the same outcome as another meta-analysis assessing the same disease and intervention that was newer, higher quality as assessed with AMSTAR checklist¹⁶ or with a higher sample size.

Data analysis

Article screening, data extraction and quality assessment were carried out by two authors independently (TP, ST). Discrepancies were resolved by discussion or by consulting the senior authors (AH, UMK). First, the titles and abstracts were screened, then the full-text articles were retrieved and evaluated against the inclusion criteria. Articles that were not available were requested from the authors. Then, outcome data at the end of the intervention, intervention characteristics, publication bias assessments and adverse effects were extracted. Finally, the quality of each included meta-analysis was evaluated using the AMSTAR checklist.¹⁶ For results that were not presented as standardised mean difference (SMD) in the original meta-analysis, the Cochrane Collaboration's Review Manager V.5.3 was used to convert the outcomes to SMD to allow visual comparison of the results in a forest plot. If data were insufficient for conversion, data were extracted from original RCTs and processed as instructed by the Cochrane Collaboration.¹⁴

The meta-analyses were classified according to the type of exercise therapy into four categories:

1. Aerobic exercise
2. Resistance training
3. Aerobic and resistance training combined
4. Other condition-specific exercise-based training

For each exercise category, the data were analysed qualitatively based on the SMDs of each included outcome. The SMDs were evaluated using forest plot figures as well as proportions of significant results and proportions of small (SMD <0.5), moderate (SMD 0.5–0.8) and large (SMD >0.8) effect sizes.¹⁷ The significance of the results ($p < 0.05$) was judged based on the 95% CIs of the SMDs. In addition to this primary analysis, the results were evaluated qualitatively based on the mean

differences (MDs). We did not include meta-analyses with less than three studies or less than 100 participants in the main analysis. We tested if these meta-analyses would have changed the results by performing a qualitative sensitivity analysis by adding the results of these meta-analyses in the figures and comparing the results visually.

RESULTS

The search process is presented in a flow diagram in figure 1. The systematic search retrieved a total of 11 947 articles and seven additional records were identified through the manual search. After removing duplicates, 10 425 articles remained; of these, 10 108 articles were excluded based on their titles and abstracts. The remaining 317 articles were retrieved as full-text versions. Of these articles, 233 were excluded (see online supplementary table 2) and finally, 84 meta-analyses were included in the qualitative synthesis. Of these, 13 meta-analyses were excluded from the report figures (see online supplementary table 3). Our search strategy resulted in the inclusion of meta-analyses with the following chronic diseases: Alzheimer's disease, cancer, chronic fatigue syndrome, chronic heart failure, chronic kidney disease, chronic obstructive pulmonary disease, cognitive impairment, coronary heart disease, dementia, fibromyalgia, haematological malignancies, heart failure, interstitial lung disease, multiple sclerosis, osteoarthritis, Parkinson's disease, peripheral arterial disease (intermittent claudication), rheumatoid arthritis, stroke and type 2 diabetes.

Meta-analysis quality

The AMSTAR Score ranged from 1 to 11 out of 11 with a median of 6 which indicates moderate overall methodological quality (see online supplementary table 4). A quarter (21 of the 85 included meta-analyses) assessed publication bias. Eleven of 147 (7%) outcomes were reported to have publication bias, although it was minimal in almost all cases. The outcomes with potential publication bias are indicated in figures 2–5.

Aerobic exercise interventions

Thirteen meta-analyses with aerobic exercise interventions,^{18–30} were included in the qualitative synthesis, and two eligible meta-analyses^{31 32} were excluded from the figures as they were older, lower quality or had a lower sample size than other meta-analyses with the same disease and outcome. Participant characteristics for the meta-analyses are presented in online supplementary table 5. The meta-analyses included land-based exercise only, water-based exercise only or both. Land-based exercise included walking, cycling, circuit training, and sports such as basketball and soccer. There was considerable heterogeneity in the interventions included in the meta-analyses. The length of interventions ranged from 2 weeks to 104 weeks. The exercise frequency was 1–10 times per week, the duration was 10–180 min per session, and the intensity was 27%–95% of maximum heart rate (for detailed intervention characteristics, see online supplementary tables 6–10). The exercise interventions resulted in statistically significant improvements for 28 of 31 (90%) outcomes, compared with the control group (see figure 2). The SMDs were small, moderate and large in 45%, 45% and 10% of the outcomes, respectively. For results as MD, see online supplementary table 11. Results were similar regardless of whether objective or patient self-reported measures of functional capacity were used.

Figure 1 Flow diagram of the study selection process.

Resistance training interventions

Eleven meta-analyses with resistance training interventions,^{30 33–42} were included in the qualitative synthesis and two eligible meta-analyses^{43 44} were excluded from the figures. The length of the interventions ranged from 2 weeks to 104 weeks. The exercise frequency was two to seven times per week, the duration was 6–90 min per session, and the volume and repetition

range were one to four sets per exercise and 5 to 30 repetitions per set, respectively. The equipment used varied from traditional free weights to therapeutic tools, such as an isokinetic dynamometer. The exercise interventions resulted in statistically significant improvements for 17 of 19 (89%) outcomes, compared with the control group (see figure 3). The SMDs were small, moderate and large in 42%, 37% and 21% of the outcomes, respectively.

Figure 2 Effect of aerobic exercise on functional capacity in chronic diseases. SMD, standardised mean difference.

Figure 3 Effect of resistance training on functional capacity in chronic diseases. SMD, standardised mean difference.

Combined aerobic and resistance training interventions

Thirty-two meta-analyses with combined aerobic and resistance training interventions^{30 45-75} were included in the qualitative synthesis and nine eligible meta-analyses^{28 76-83} were excluded from the figures. The length of the interventions ranged from 2 weeks to 112 weeks. The characteristics of interventions were similar to those in the separate aerobic or resistance training interventions. The exercise interventions resulted in statistically significant improvements for 54 of 60 (90%) outcomes, compared with the control group (see figure 4). The SMDs were small, moderate and large in 40%, 42% and 18% of the outcomes, respectively.

Condition-specific exercise

Twenty meta-analyses with condition-specific interventions^{26 41 84-101} were included in the qualitative synthesis and two meta-analyses^{102 103} were excluded from the figures. Condition-specific exercise was conducted both individually and in groups. The interventions included, for example, supervised walking therapy and specific physical rehabilitation approaches such as dual-task walking, transfer practice, specific aerobic exercises and resistance training. In most studies, the exercise was supervised by physiotherapists or occupational therapists. The length of interventions ranged from 2 weeks to 104 weeks. The exercise frequency was 1-14 times per week for 10-300 min per session. In the few meta-analyses that reported exercise intensity, it was low to moderate. The exercise interventions resulted in statistically significant improvements for 27 of 36 (75%) outcomes (see figure 5). The SMDs were small, moderate and large in 47%, 25% and 28% of the outcomes, respectively.

Sensitivity analysis

To test if meta-analyses with less than three studies or less than 100 participants would have changed the results of this study we added the results of these meta-analyses in the figures to see if they changed the results. The results appeared to be in agreement with larger meta-analyses (the mean indicated a low to moderate positive effect), however, due to the very wide CIs, the results could not be interpreted reliably. That is, the often non-significant effects were probably not caused by inefficiency of exercise therapy but rather by the small sample size.

Safety

None of the meta-analyses reported a statistically significant difference in adverse effects, deaths or hospitalisations between the exercise and control groups. Information about the adverse effects was available in 64 of 85 (75%) meta-analyses; although, most of the meta-analyses reported insufficient reporting of adverse effects in the original RCTs. The adverse effects experienced by control and intervention group participants ranged from increased pain to myocardial infarction (see online supplementary table 12).

DISCUSSION

The main finding of this umbrella review was that exercise therapy can improve physical performance and functional capacity in all of the included non-communicable chronic diseases. In approximately half of the physical performance and functional capacity outcomes, the effect of exercise was moderate or large. Therefore, the improvements are likely to be clinically important through reduction of functional capacity limitations in everyday activities. Although adverse effects were not consistently reported, based on the available data, exercise therapy appears to be safe in all of the included chronic diseases. The results appear to be similar for all training types, regardless of whether the studies used objective or patient-reported outcomes. However, condition-specific programmes had a lower proportion of significant results compared with aerobic exercise, strength training and combined aerobic and strength training. The finding that physical performance and functional capacity are improved by exercise therapy, is in agreement with the meta-analyses that were excluded from the qualitative synthesis as well as meta-analyses where multiple chronic diseases had been combined.^{9 10}

Validity of the results

At the time of writing this overview, there were 13 meta-analysis protocols that would have fulfilled the inclusion criteria for this overview in the PROSPERO International Prospective Register of Systematic Reviews. It appears unlikely that future meta-analyses would change the overall conclusion of this overview, however, future research will likely increase our disease-specific knowledge on many specific aspects of exercise therapy. It is

Figure 4 Effect of missed exercise on functional capacity in chronic diseases. SMD, standardised mean difference.

also unlikely that expanding this overview to include systematic reviews without meta-analysis would change the results considerably as the vast majority of systematic reviews appear to be in agreement with these results.¹⁰⁴

Generalisability of the results

The results of this review are applicable to adult patients with any one of the included chronic diseases. As it is possible that meta-analyses have not been conducted or only a few RCTs are available for some chronic diseases for which exercise therapy might not be effective, our results cannot be directly generalised to chronic diseases that were not included in this review. Our review covers most non-communicable chronic diseases with high prevalence in the general population. For cancer, the results

can be generalised mostly to cancer survivors after aggressive cancer treatments.

Impact of exercise therapy

Perhaps one of the most important impacts of improved functional capacity is improved coping with activities of daily living. This may also contribute to reduced experience of pain and disease progression, as well as psychological benefits.¹⁰⁵ Improved functional capacity of elderly patients has important economic benefits due to reduced need of care and the ability to live at home. The results of this study are in line with a recent RCT showing that a structured physical activity programme reduced mobility disability among older, at-risk adults.¹⁰⁶ Increasing functional capacity and functional reserve

Figure 5 Effect of other condition-specific exercise-based rehabilitation modes (than those included in figures 2–4) on functional capacity in chronic diseases. SMD, standardised mean difference.

are important components of maintaining unrestricted mobility, independence and a high quality of life; therefore, paying attention to the patients' functional capacity should be a routine part of each medical examination.

Disease-specific considerations

Due to the scope of this overview we have not focused in detail on disease-specific results. Furthermore, detailed comparisons on how well individuals with different chronic diseases respond to exercise based on this overview might not be reliable as the methods used in the original RCTs and meta-analyses vary considerably. The differences in the results for different chronic diseases could be caused by a multitude of confounding factors that we have not systematically appraised in this overview, such as the quality and duration of the exercise programme design, supervision, adherence and disease severity. However, it is important to keep in mind that different diseases cause different types of functional impairments and exercise programmes should be designed to target those impairments. Improving aerobic performance while influencing cardiometabolic risk factors is important in diseases such as type 2 diabetes and coronary heart disease; however, training specific muscle groups may be necessary when improving the functional capacity of patients with specific musculoskeletal problems. Our results concerning the types of training used in patients with different diseases reflect these disease-specific needs. Comparing the effect sizes of different types of exercise on different outcomes would not be valid using this study design, but different types of training programmes (aerobic, strength and both combined) appear to

give the specific desirable benefits consistently. There was a good match between the objective measurements and self-reported functional benefits. The lower proportion of significant positive effects due to condition-specific exercise may be related to the more severe functional limitations among certain patient groups, such as those with Parkinson's disease or those with severe (preoperative) osteoarthritis. Moreover, usual care also included physical training components, for example, in the treatment of patients with stroke. So, the condition-specific programmes in our classification are often carried out in situations where the disease sets limitations to training and recovery.

Implications for practice

When applying the results of this umbrella review in clinical practice, consider that the patients usually did not have comorbidities in the original RCTs. In addition, in the scientific trials the inclusion and exclusion criteria are usually carefully planned and followed, and the training programmes are tailored and guided according to the disease type and severity, which may have reduced the number of complications.¹³ In clinical work disease-specific functional and mobility limitations should be considered and identified as early as possible, to prevent them from worsening. Also, the type of exercise therapy programme should be selected to best correct the disease-specific functional problems. The supplementary tables with the exercise intervention characteristics and the included meta-analyses may be used as a basis for designing exercise programmes for different chronic diseases. Usually the training programme should be progressive and include follow-ups to document the adherence, effectiveness

and possible adverse effects. It is a challenge for the healthcare system and its professionals to address these issues in real clinical situations. A recent study reported that among adults with disabilities who had visited a health professional within the past 12 months, 56% of did not receive a recommendation for physical activity.¹⁰⁷

Recommendations for future research

The development of reporting guidelines for adverse effects is warranted, as there appears to be a serious lack of consistency in reporting in the RCTs included in the meta-analyses. Moreover, very few meta-analyses fulfilled the 11th criteria in the AMSTAR checklist: 'was the conflict of interest included?' We also encourage development and inclusion of proper cost-benefit analyses in future exercise therapy studies, since the current trials usually do not provide adequate data for such analyses. These studies should include both disease-specific and general outcome measures and collaboration with cross-sector partners. Moreover, currently there seems to be great variation in the exercise programme designs and lengths of interventions, which indicates that meta-regression and network meta-analyses are needed to find out the most optimal ways to improve functional capacity in different chronic diseases. Finally, although we used WHO's ICF framework's definition of disability, the patient-reported outcome measures used in the included meta-analyses to describe disability may not have been developed using ICF as a guiding framework. Evaluating how these outcome measures fit with the ICF framework, could be another focus for future research.

Strengths and limitations

The main strength of our umbrella review was that we only included meta-analyses with RCTs. Moreover, the likelihood of retrieving all relevant articles was maximised by using a highly sensitive search strategy. However, there were some limitations to our study. First, we did not publish a protocol for this study. Nonetheless, to our knowledge, this would not have changed the way the study was conducted, although, it might have made us exclude very low intensity exercise protocols from the review earlier. Another limitation was low methodological quality in some meta-analyses. Nevertheless, the results of the lower quality meta-analyses seemed to be in line with those with higher AMSTAR scores. It is possible that many of the lower scores also reflect poor reporting standards rather than poor methodological quality. The effect of publication bias on the results remains unclear, as publication bias was statistically analysed for only a third of the outcomes. However, in almost all instances, it was reported to be minimal. Therefore, it likely does not have a large impact on the vast majority of results. The quality of the presented evidence is unclear as the GRADE quality evaluation¹⁰⁸ was available for only approximately a tenth of the outcomes. For these outcomes, the ratings ranged from low to high. When including several meta-analyses, it is possible for a single study to be included in more than one meta-analysis. However, since we did not include overlapping meta-analyses on the same outcome and the same chronic disease, this was unlikely in this overview.

Deviations from the unpublished protocol

We included yoga and taiji in the search strategy as we had preplanned to include these interventions in the review. However, after conducting the search, we decided to exclude these forms of exercise with very low or unclear intensity as meta-analyses did not appear to distinguish the results between

What is already known?

- ▶ Worldwide chronic diseases reduce the functional capacity and quality of life of more than a billion individuals.
- ▶ Meta-analyses suggest that exercise therapy improves functional capacity and reduces disability in individuals with different chronic diseases, but due to the large number of randomised controlled trials (RCTs) and meta-analyses of RCTs, it is challenging for clinicians to summarise the results.

What are the new findings?

- ▶ Our umbrella review of meta-analyses shows that aerobic, resistance, and the combination of aerobic and resistance training have beneficial effects on objective and self-reported measures of functional capacity in patients with non-communicable chronic diseases.
- ▶ Exercise therapy appears to be safe for patients with non-communicable chronic diseases.

How might it impact on clinical practice in the future?

Exercise therapy should be recommended for all patients with non-communicable chronic diseases to prevent the decline of functional capacity and to improve it further.

less intensive and more intensive variants of these types of exercise. Without additional information regarding the intensity of exercise, interpretation of the results from the figures could have been challenging. Yoga and taiji may also have effects via other mechanisms than exercise itself.

CONCLUSION

Exercise therapy appears to be a safe way to improve physical performance and functional capacity, and reduce disability in individuals with non-communicable chronic disease. This applies to aerobic exercise, resistance training, combined aerobic and resistance training, and to most of the other condition-specific training protocols.

Contributors TP, AH and UMK created the study concept and design. TP, ST, AH and UMK carried out the acquisition, analysis and interpretation of data. TP drafted the manuscript. AH and UMK critically revised the manuscript for important intellectual content. TP conducted statistical analyses. UMK obtained funding. AH and UMK provided administrative, technical and material support. AH and UMK supervised the study.

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Competing interests TP is employed at Kuntokeskus Energy Oy as a physical therapist and administrative assistant. ST is employed at Kunnonpaikka as a physical therapist.

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Correction: *Exercise therapy for functional capacity in chronic diseases: an overview of meta-analyses of randomised controlled trials*

Pasanen T, Tolvanen S, Heinonen A, *et al.* Exercise therapy for functional capacity in chronic diseases: an overview of meta-analyses of randomised controlled trials. *Br J Sports Med* 2017;**51**:1459–65. doi:10.1136/bjsports-2016-097132.

Figure 4 legend should read: Effect of mixed exercise on functional capacity in chronic diseases.

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